

Financial Institutions

Companies Financing Nuclear Weapons Producers

This chapter profiles each of the 322 financial institutions identified in this report as investing in nuclear weapons companies, either directly or through subsidiaries. It provides information on their business activities, areas of operation, trading names, profits and revenue, customers and employees, and any major sponsorship deals.

Australia

ANZ

ANZ is the third-largest bank in Australia and New Zealand. It has operations in 25 other nations. In 2010 it recorded a profit of A\$4.51 billion. It has approximately 47,000 employees. Its Australian operations make up the largest part of its business, with commercial and retail banking dominating.

Invests in: Babcock International, BAE Systems, Boeing, EADS, General Dynamics, Lockheed Martin, Rolls-Royce, Thales

Headquarters: Melbourne

Website: www.anz.com

Commonwealth Bank of Australia

The Commonwealth Bank of Australia is a multinational bank with businesses across New Zealand, Fiji, Asia, the United States and the United Kingdom. It provides a variety of financial services including banking, funds management, superannuation, insurance and investment. It is the largest bank in Australia and the second-largest Australian company listed on the stock exchange. Its brands include BankWest, Colonial First State Investments and ASB Bank, which operates in New Zealand. In 2009 it recorded a profit of A\$4.72 billion. It has more than 45,000 employees.

Invests in: BAE Systems, EADS, Rolls-Royce

Headquarters: Sydney

Website: www.commbank.com.au

Macquarie Group

Macquarie Group Limited is a global investment banking and diversified financial services group, providing banking, financial, advisory, investment and funds management services to institutional, corporate and retail clients and counterparties around the world. It is the largest investment bank in Australia. In 2009 it recorded a net income of A\$1.05 billion. It has approximately 23,000 employees.

Invests in: Finmeccanica, Northrop Grumman

Headquarters: Sydney

Website: www.macquarie.com.au

Platinum Investment Management

Platinum Investment Management is an Australian fund manager specializing in investing in international equities. It manages in excess of A\$15 billion with around 11 per cent of this from investors in New Zealand, Europe, the United States and Asia.

Invests in: Jacobs Engineering

Headquarters: Sydney

Website: www.platinum.com.au

Westpac

Westpac is the fourth-largest bank in Australia and the second-largest in New Zealand, with over 12.2 million customers and 1200 branches. In 2011 it recorded a net income of A\$6.99 billion. It has more than 40,000 employees. About 570,000 people and institutions are Westpac shareholders.

Invests in: Boeing, EADS, Honeywell International
Headquarters: Sydney
Website: www.westpac.com.au

Austria

Erste Bank

Erste Group Bank is one of the largest financial services providers in Central and Eastern Europe, with more than 50,000 employees and 3,000 branches in eight countries: Austria, the Czech Republic, Slovakia, Romania, Hungary, Croatia, Serbia and Ukraine. It has more than 17 million customers, mostly within the European Union. It sponsors the Erste Bank Open, an indoor tennis tournament.

Invests in: EADS
Headquarters: Vienna
Website: www.erstegroup.com

Bahrain

Arab Banking Corporation

Arab Banking Corporation is headquartered in Bahrain, with subsidiaries and affiliates in 21 countries around the world. Its major shareholders include the Kuwait Investment Authority, the Central Bank of Libya and Abu Dhabi Investment Authority. It recorded a net income of US\$143 million in 2010.

Invests in: Boeing
Headquarters: Manama
Website: www.arabbanking.com

Gulf International Bank

Gulf International Bank provides wholesale commercial and investment banking services primarily in countries that are part of the Gulf Cooperation Council. It operates in Manama, London, Riyadh and New York. It has approximately 500 employees.

Invests in: Bechtel, Rolls-Royce
Headquarters: Manama
Website: www.gibonline.com

Belgium

Ackermans & van Haaren

Ackermans & van Haaren is a Belgian holding company. Through its subsidiaries, it is active in four key sectors: dredging and construction, real estate, financial services and private equity. In 2010 it recorded a profit of €160.8 million. It has approximately 1,060 employees.

Invests in: Redhall Group
Headquarters: Antwerp
Website: www.avh.be

Dexia

Dexia is a Belgian–French financial institution active in public finance, providing retail and commercial banking services, asset management and insurance. The company has about 35,200 employees and recorded a profit of €723 million in 2010. It is a casualty of the 2011 European sovereign debt crisis, and negotiations are under way for its break-up.

Invests in: Finmeccanica
Headquarters: Brussels
Website: www.dexia.com

KBC Group

KBC Group is a Belgian company involved in retail banking, insurance and asset management. It is the 18th-largest bank in Europe and a major financial player in Central and Eastern Europe, employing some 57,000 staff and serving 11 million customers. It recorded a profit of €1.86 billion in 2010.

Invests in: Redhall Group through subsidiary Brown Shipley
Headquarters: Brussels
Website: www.kbc.com

Canada

Bank of Montreal

The Bank of Montreal is the fourth-largest bank in Canada by deposits. It has more than 900 branches in Canada, serving over seven million customers. The company also has substantial operations in the United States, where it operates as BMO Harris Bank. BMO Capital Markets is its investment and corporate banking division, while the wealth management division is branded as BMO Nesbitt Burns. It recorded a profit of C\$2.8 billion in 2010.

Invests in: General Dynamics
Headquarters: Montreal
Website: www.bmo.com

CIBC

CIBC (Canadian Imperial Bank of Commerce) is the fifth-largest chartered bank in Canada by deposits. Its two strategic business units, CIBC World Markets and CIBC Retail Markets, have operations in the United States, the Caribbean, Asia and the United Kingdom. Globally, CIBC serves more than 11 million clients and has over 40,000 employees. It recorded a net income of C\$2.5 billion in 2010.

Invests in: Serco Group
Headquarters: Toronto
Website: www.cibc.com

Gryphon International Investment Corp.

Gryphon International Investment Corporation is an independent, privately held investment management firm providing specialist investment services. Its clients include pension funds, charities, foundations, endowments, trusts and estates.

Invests in: Serco Group
Headquarters: Toronto
Website: www.gryphonintl.com

Manulife Financial

Manulife Financial is a Canadian insurance company and financial services provider with operations in 21 countries. The company operates in Canada and Asia as Manulife Financial and in the United States primarily through its John Hancock division. It is one of the largest life insurance companies in the world, with approximately 24,000 employees.

Invests in: BAE Systems, Boeing, EADS
Headquarters: Toronto
Website: www.manulife.com

Ontario Teachers' Pension Plan Board

The Ontario Teachers' Pension Plan Board is responsible for administering pensions for public school teachers of Ontario. It is one of the largest and most powerful investment groups in Canada. The plan is a multi-employer pension plan, jointly sponsored by the government of Ontario and the Ontario Teachers' Federation. Its total assets in 2010 were C\$107.5 billion.

Invests in: BAE Systems
Headquarters: Toronto
Website: www.otpp.com

Power Corporation of Canada

Power Corporation of Canada is a Canadian company with assets in industries including media, pulp and paper, and financial services. The company is known for its active participation in Canadian politics. It recorded a net income of C\$907 million in 2010, and has approximately 15,500 employees.

Invests in: Alliant Techsystems, Northrop Grumman
Headquarters: Montreal
Website: www.powercorporation.com

Royal Bank of Canada

The Royal Bank of Canada is the largest financial institution in Canada, as measured by deposits, revenues and market capitalization. It serves 17 million clients and has more than 80,000 employees worldwide. Its retail banking subsidiary in the United States is RBC Bank, which has 439 branches.

Invests in: Alliant Techsystems, Boeing, EADS, Honeywell International, Lockheed Martin, Rolls-Royce
Headquarters: Toronto
Website: www.rbc.com

Scotiabank

The Bank of Nova Scotia, or Scotiabank, is the third-largest bank in Canada by deposits and market capitalization. It serves some 19 million customers in more than 55 countries and provides personal, commercial, corporate and investment banking. In 2011 it recorded a net income of C\$5.27 billion.

Invests in: Babcock & Wilcox, Bechtel, General Dynamics, Northrop Grumman
Headquarters: Toronto
Website: www.scotiabank.com

Sun Life Financial

Sun Life Financial is an international financial services company known primarily as a life insurance company. Sun Life and its partners provide insurance, retirement and investment solutions for individuals and businesses around the world. It recorded a net income of C\$622 million in 2009, and has approximately 30,000 employees.

Invests in: BAE Systems, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce
Headquarters: Montreal
Website: www.sunlife.com

Toronto-Dominion Bank

The Toronto-Dominion Bank is the second-largest bank in Canada by market capitalization and based on assets, and the sixth-largest bank in North America. It has over 79,000 employees and 19 million clients worldwide. In Canada the bank operates as TD Canada Trust and in the United States as TD Bank. In 2010 it recorded a net income of C\$4.64 billion.

Invests in: BAE Systems, EADS, General Dynamics, Rolls-Royce
Headquarters: Toronto
Website: www.td.com

China

Bank of China

Bank of China is one of the four main state-owned commercial banks in China. It is the second-largest lender in China overall, and the fifth-largest bank in the world by market capitalization. It operates in 28 countries, more than any other Chinese bank. In 2010 it recorded a net income of US\$18.8 billion. It has approximately 390,000 employees.

Invests in: Boeing
Headquarters: Beijing
Website: www.boc.cn

ICBC

Industrial and Commercial Bank of China is the largest bank in the world by profit and market capitalization. It is one of China's main four state-owned commercial banks. As of March 2010, it had assets of US\$1.9 trillion, with over 18,000 outlets including 106 overseas branches and agents. In 2009 it recorded a net income of US\$18.92 billion. It has approximately 390,000 employees.

Invests in: Boeing, EADS
Headquarters: Beijing
Website: www.icbc.com.cn

SAFE Investment Company

SAFE Investment Company is a Hong Kong-based subsidiary of SAFE (State Administration of Foreign Exchange). SAFE is responsible for managing China's foreign exchange reserves. SAFE Investment Company makes investments in foreign equity markets. Although it is a privately held firm, SAFE officials serve on its board.

Invests in: Serco Group
Headquarters: Hong Kong

Denmark

Danske Bank

Danske Bank is one of the largest banks in Denmark. In Norway it is known as Fokus Bank and in Sweden it operates under various brand names, including Östgöta Enskilda Bank. It maintains corporate service branches in Hamburg, Poland and London, and an outpost serving high-net-worth clients in Luxembourg. In 2010 it recorded a profit of DKK 3.66 billion. It has more than 21,000 employees.

Invests in: Honeywell International

Headquarters: Copenhagen

Website: www.danskebank.com

European Union

European Investment Bank

The European Investment Bank is the European Union's long-term lending institution. It supports the Union's policy objectives, especially European integration and the development of economically weak regions. It is also active in 150 countries outside of the European Union. The bank is owned by the member states of the Union, which subscribe to its capital (worth €232 billion at the end of 2009). As shareholders, the member states are represented on the bank's main independent decision-making bodies.

Invests in: Finmeccanica, Rolls-Royce, Safran

Headquarters: Luxembourg

Website: www.eib.org

France

AXA

AXA is a French global insurance group. It is a conglomerate of independently run businesses. It operates primarily in Western Europe, North America, Asia-Pacific and the Middle East. The AXA group of companies engage in life, health and other forms of insurance, as well as investment management. Based on revenue, AXA ranks as the ninth-largest company in the world on the 2010 Fortune Global 500 list. In 2010 it recorded a profit of €2.75 billion. It has over 100,000 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox, BAE Systems, Boeing, Honeywell International, Northrop Grumman, Rolls-Royce, Serco Group

Headquarters: Paris

Website: www.axa.com

BNP Paribas

BNP Paribas is the largest bank in France. It is also a global banking group with domestic markets in France, Italy, Belgium and Luxembourg. It has retail operations in the United States, Poland, Turkey, Ukraine and North Africa, as well as large-scale investment banking operations in New York, London, Hong Kong and Singapore. In October 2010 Bloomberg and Forbes ranked it as the largest company in the world, with assets over US\$3.1 trillion. In 2010 it recorded a profit of €7.84 billion. It has approximately 205,000 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce, Safran, Thales

Headquarters: Paris

Website: www.bnpparibas.com

Covéa Mutal Insurance Group

Covéa Mutal Insurance Group provides insurance solutions and services for businesses and private individuals in France and internationally. Its three main brands are GMF, MAAF and MMA. One in four French households is insured by this group. It has approximately €13.6 billion in gross premiums, and roughly 25,000 employees.

Invests in: Safran

Headquarters: Paris

Website: www.covea.eu

Crédit Agricole

Crédit Agricole is the second-largest banking group in France. According to *Global Finance* magazine, it is also the fourth-largest banking group in the world. Through all of its subsidiaries, it has in excess of 21 million clients and a presence in over 60 countries. In 2010 it recorded a profit of €3.61 billion. It has approximately 160,000 employees.

Invests in: Babcock & Wilcox, BAE Systems, Boeing, EADS, Finmeccanica, Honeywell International, Lockheed Martin, Rolls-Royce, Safran, Secro Group, Thales

Headquarters: Paris

Website: www.credit-agricole.com

Crédit Mutuel

Crédit Mutuel is a major French bank. It has approximately 7.4 million customers.

Invests in: Babcock International, BAE Systems, Bechtel, EADS, Finmeccanica, Safran, Thales

Headquarters: Strasbourg

Website: www.creditmutuel.fr

Financière de l'Echiquier

Financière de l'Echiquier is an independent asset management company. It employs approximately 70 staff. It is fully owned by its founding partners and employees. It ranks among the top French private capital asset management companies.

Invests in: Serco Group

Headquarters: Paris

Website: www.fin-echiquier.fr

Groupe BPCE

Groupe BPCE is the third-largest bank in France, with more than 8,000 branches and 36 million customers. Through its subsidiaries, it provides banking, financial and real-estate financing services. The company also offers insurance services, including life insurance and credit insurance. It has approximately 117,000 employees. Groupe BPCE is the official partner of the French Olympic team and the French Sailing Federation. It claims on its website to be a socially committed group. It provides support for environmental projects, young musicians and people with physical disabilities.

Invests in: Alliant Techsystems, Boeing, EADS, Finmeccanica, General Dynamics, Northrop Grumman, Safran, Thales

Headquarters: Paris

Website: www.bpce.fr/en

Oddo & Cie

Oddo & Cie is a French financing company. It has offices in Paris, Lyon, Strasbourg, Madrid, New York, Dubai, Tunis and Hong Kong. In 2010 it recorded a net banking income of €255 million.

Invests in: Safran

Headquarters: Paris

Website: www.oddo.eu

Société Générale

Société Générale is a major banking and financial services company. It is the fourth-largest bank in France, and also one of the oldest. Its three main divisions are Retail Banking & Specialized Financial Services, Corporate and Investment Banking and Global Investment Management and Services. In 2010 it recorded a profit of €3.92 billion. It has approximately 160,000 employees.

Invests in: BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, Honeywell International, Rolls-Royce, Safran, Thales

Headquarters: Paris

Website: www.societegenerale.com

Germany

Allianz

Allianz is a global financial services company, operating in more than 70 countries. Its core business and focus is insurance. It also provides asset management and banking services. According to *Forbes* magazine, it was the world's 12th-largest financial services group and 23rd-largest company in 2010. Allianz is a major sponsor of the Formula One Mercedes GP Petronas team and the German Paralympic Team. In 2010 it recorded a profit of €5.05 billion. It has approximately 150,000 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Safran, Serco Group

Headquarters: Munich

Website: www.allianz.com

BayernLB

BayernLB is a publicly regulated bank. It is the eighth-largest financial institution in Germany. It is 94 per cent owned by the state of Bavaria and 6 per cent owned by the Sparkassenverband Bayern, the umbrella organization of Bavarian Sparkassen. It has a balance of €416 billion and about 19,200 employees.

Invests in: Babcock International, BAE Systems, Boeing, EADS, Finmeccanica, Rolls-Royce, Serco Group

Headquarters: Munich

Website: www.bayernlb.de

Commerzbank

Commerzbank is the second-largest bank in Germany, operating mainly in commercial banking, retail banking and mortgaging. It has branch offices throughout Germany and Europe, including offices in London, Luxembourg, Poland, Spain and Switzerland. The bank also has representatives in many commercial and financial centres in Asia and the Americas. In 2010 it recorded a profit of €1.43 billion. It has approximately 59,000 employees.

Invests in: BAE Systems, Boeing, EADS, Finmeccanica, Rolls-Royce, Safran, Thales

Headquarters: Frankfurt

Website: www.commerzbank.com

DekaBank

DekaBank is the central asset manager for the Sparkassen-Finanzgruppe, the world's biggest financial network. It has three divisions and one central sales unit: Asset Management Capital Markets, Asset Management Property, Corporates and Markets, and Savings Banks Sales. In 2007 its total assets were €191.3 billion. It has approximately 3,900 employees.

Invests in: EADS, Thales

Headquarters: Frankfurt

Website: www.dekabank.de

Deutsche Bank

Deutsche Bank is a global banking and financial services company. It operates in more than 70 countries worldwide. It has a large presence in Europe, the Americas and Asia-Pacific. It has offices in major financial centres including New York, London, Frankfurt, Paris, Moscow, Amsterdam, Dublin, Toronto, São Paulo, Singapore, Hong Kong, Tokyo, Sydney, Dubai, Riyadh and Mumbai. It is the largest foreign exchange dealer in the world with a market share of 21 per cent. The bank's services include sales, trading, research and origination of debt and equity, mergers and acquisitions and risk management products. In 2010 it recorded a profit of €2.31 billion. It has more than 102,000 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, EADS, Finmeccanica, GenCorp, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce, Safran, Thales

Headquarters: Frankfurt

Website: www.db.com

DZ Bank

DZ Bank is the fourth-largest bank in Germany by asset size. It is the central institution for more than 900 cooperative banks and their 12,000 branch offices. It functions both as a central institution and a corporate and investment bank. DZ Bank is based in the financial district of Frankfurt and maintains branches, subsidiaries and representative offices in key financial centres and economic regions worldwide. It has approximately 30 million clients.

Invests in: EADS, Finmeccanica, Thales

Headquarters: Frankfurt

Website: www.dzbank.com

Helaba

Helaba is a commercial bank operating mainly in the Hesse and Thuringia regions. It has branches in Kassel, New York, London, Paris and Dublin. It also has representative offices in Madrid, Moscow and Shanghai. In 2011 its total assets were €157,724 billion. It has approximately 6,000 employees.

Invests in: EADS

Headquarters: Frankfurt

Website: www.helaba-group.com

KfW

KfW banking group is a development bank owned by the Federal Republic of Germany and its constituent states. It is led by a five-member managing board, which reports to a 37-member supervisory board that is chaired by the Federal Minister of Economy and Technology. In 2008 it had a recorded revenue of €70.6 billion. It has approximately 4,228 employees.

Invests in: EADS
Headquarters: Frankfurt
Website: www.kfw.de

Landesbank Baden-Württemberg

Landesbank Baden-Württemberg is a commercial bank and central bank for savings banks in Baden-Württemberg, Rhineland-Palatinate and Saxony. It focuses on industrial technologies, information technology, software, telecommunication, innovative services and life science. It invests mainly in southern Germany. In 2007 its total assets were €443.42 billion. It has approximately 13,000 employees.

Invests in: Finmeccanica
Headquarters: Stuttgart
Website: www.lbbw.de

Munich Re

Munich Re Group is one of the world's leading reinsurance companies. Its subsidiary, ERGO, is the group's primary insurance arm. In 2010 it recorded a profit of €2.42 billion. It has approximately 47,000 employees. Warren Buffet is the largest shareholder in the company, owning a 10.2 per cent stake. Warren Buffet is also a major funder of the US-based Nuclear Threat Initiative, having pledged \$50 million to the organization. He is also one of its advisers.

Invests in: BAE Systems
Headquarters: Munich
Website: www.munichre.com

Universal-Investment-Gesellschaft

Universal-Investment-Gesellschaft is a privately owned investment manager. The firm provides services to institutional and private investors, and manages mutual funds for its clients. It invests in public equity and fixed income markets. In 2011 it had more than €131 billion in funds under management. It has more than 400 employees.

Invests in: Redhall Group
Headquarters: Frankfurt
Website: www.universal-investment.de

India

Edelweiss Capital

Edelweiss Capital is a financial services company operating from 43 offices in 19 Indian cities. It provides investment banking, institutional equities, private client broking, asset management, wealth management, insurance broking and wholesale financing services to individual, corporate and institutional clients. Its total equity is approximately INR 2,500 crores. It has roughly 2,600 employees.

Invests in: Larson & Toubro
Headquarters: Mumbai
Website: www.edelweiss.in

Equirus Capital

Equirus Capital is a boutique investment banking firm that provides financial advisory services in the areas of mergers and acquisition, private equity, merchant banking and structured financing, including real-estate and infrastructure financing. It has offices in Mumbai, Bangalore and Ahmedabad.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.equirus.com

General Insurance Corporation of India

General Insurance Corporation of India is the sole reinsurance company in the Indian insurance market. It is fully owned by the Indian government. It has operations in Southeast Asia, the Middle East and Africa. It also has international operations through branches in London and Moscow.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.gicofindia.com

ICICI Bank

ICICI Bank is India's second-largest financial services company. It offers a range of banking products and financial services to corporate and retail customers. It has a network of 2,533 branches in India and a presence in other 19 countries, including subsidiaries in the United Kingdom, Russia and Canada. The company's British subsidiary has established branches in Belgium and Germany. In 2011 it recorded a profit of US\$1.37 billion. It has roughly 80,000 employees.

Invests in: Boeing, Larsen & Toubro
Headquarters: Mumbai
Website: www.icicibank.com

JM Financial

JM Financial is an integrated financial services group. Through its subsidiaries, it provides services to corporations, financial institutions, high-net-worth individuals and retail investors in India. It has approximately 1,129 employees.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.jmfinancial.in

Kotak Mahindra Bank

Kotak Mahindra Bank is one of India's largest financial institutions. In 2011 it recorded a net income of US\$298 million. It has over 20,000 employees.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.kotak.com

L&T Employees Welfare Foundation

L&T Employees Welfare Foundation is a welfare scheme for the employees of Larsen & Toubro.

Invests in: Larsen & Toubro
Headquarters: Mumbai

Life Insurance Corporation of India

Life Insurance Corporation of India is the largest state-owned life insurance company in India. It funds 24.6 per cent of the Indian government's expenses. It has assets worth an estimated US\$251.75 billion.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.licindia.in

New India Assurance Company

New India Assurance is the largest general insurance company in India. It is one of the five public sector insurance companies in India.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.newindia.co.in

Reliance Anil Dhirubhai Ambani Group

Reliance Anil Dhirubhai Ambani Group is one of India's largest financial conglomerates. It operates in over 20,000 towns and 450,000 villages in India. It has a customer base of more than 100 million and shareholder base of 12 million. The interests of the group include communications and financial services, electrical power, infrastructure and entertainment. In 2009 the group recorded a revenue of US\$15.4 billion. It has approximately 120,000 employees.

Invests in: Larsen & Toubro
Headquarters: Mumbai
Website: www.relianceadagroup.com

State Bank of India

The State Bank of India is the largest Indian banking and financial services company. It is fully owned by the government of India. It provides a range of banking products through its vast network of branches in India and abroad. It has over 16,000 branches in India, making it the nation's largest banking network. It also has around 130 branches overseas. In 2011 it recorded a profit of US\$2.12 billion. It has approximately 223,000 employees.

Invests in: Larsen & Toubro

Headquarters: Mumbai

Website: www.statebankofindia.com

UTI Asset Management

UTI Asset Management Company is a privately owned investment manager. It has approximately 10 million investors and 1,114 employees. Its subsidiary UTI International Limited has branches in London, Dubai and Bahrain. It has a joint venture with Shinsei Bank in Singapore.

Invests in: Larsen & Toubro

Headquarters: Mumbai

Website: www.utimf.com

YES Bank

YES Bank Limited is an Indian Bank that provides a range of banking and financial services. Its business segments include treasury, corporate and wholesale banking, retail banking, corporate finance and transaction banking. In 2010 it recorded a profit of US\$138.15 million. It has roughly 3,000 employees.

Invests in: Larsen & Toubro

Headquarters: Mumbai

Website: www.yesbank.in

Ireland

Allied Irish Banks

Allied Irish Banks is a major commercial bank based in Ireland. It is partially owned by the Republic of Ireland. It offers a range of personal and corporate banking services and general insurance products. It has operations in the United Kingdom, Poland, Latvia, Estonia and Lithuania. In 2009 it accepted a €3.5-billion bailout from the Irish government.

Invests in: Babcock & Wilcox

Headquarters: Dublin

Website: www.aib.ie

Italy

Banca Leonardo

Banca Leonardo is a private independent investment bank involved in wealth management and market-related activities. It has offices in Milan, Lecco, Rome and Turin. The bank is also active in Europe through subsidiaries in Germany, Belgium, Spain, France and the Netherlands. In 2010 its revenue was €192 million.

Invests in: Safran

Headquarters: Milan

Website: www.bancaleonardo.com

Banca Monte dei Paschi di Siena

Banca Monte dei Paschi di Siena claims to be the oldest bank in the world. It has approximately 3,000 branches, 33,000 employees and 4.5 million customers in Italy. It also has branches and businesses abroad. It is the primary sponsor of Italian basketball club Mens Sana Basket of Siena. In 2010 it recorded a profit of €985.5 million.

Invests in: Finmeccanica

Headquarters: Siena

Website: www.mps.it

Banca Popolare di Milano

Banca Popolare di Milano is an Italian cooperative bank. It works primarily in the northern and central regions of Italy, with a strong presence in Lombardia and Piemonte. In 2010 it recorded a profit of €106 million. It employs about 9,000 people in 792 branches and it has some 1.4 million customers.

Invests in: Finmeccanica
Headquarters: Milan
Website: www.bpm.it

Banca Popolare di Sondrio

Banca Popolare di Sondrio is a major Italian bank. Its customers include individuals, small and large companies and public institutions. It provides banking, financial and insurance services. It has around 278 branches and 2,450 employees. The bank has capital of approximately €925 million.

Invests in: Finmeccanica
Headquarters: Sondrio
Website: www.popso.it

Banca Popolare Emilia Romagna

Banca Popolare Emilia Romagna provides various banking and financial services in Italy and internationally. Its network of banks includes Banca Popolare di Ravenna, Banca Popolare di Lanciano e Sulmona, Carispaq-Cassa di Risparmio della provincia dell'Aquila, Banca Popolare del Mezzogiorno, Banca della Campania, Banca Popolare dell'Emilia Romagna International and Sardaleasing. It has representative offices in Ireland, Luxembourg, Slovenia, Ukraine, Hungary and China. It has roughly 12,000 employees.

Invests in: Finmeccanica
Headquarters: Modena
Website: www.bper.it

Banca Popolare di Vicenza

Banca Popolare Di Vicenza provides retail and corporate banking services to private and corporate customers in Italy and other European Union countries. It operates 638 branches in Italy, two representative offices in China and one representative office in India. It has approximately 5,579 employees.

Invests in: Finmeccanica
Headquarters: Vicenza
Website: www.popolarevicenza.it

Banco Popolare

Banco Popolare is an Italian cooperative bank. In June 2009 the company became the first Italian bank to receive state aid from the government of Italy due to the ongoing financial crisis. It also sold €1.5 billion in convertible bonds to the state. In 2010 it recorded a profit of €308 million.

Invests in: Finmeccanica
Headquarters: Verona
Website: www.bancopopolare.it

Credito Emiliano

Credito Emiliano provides regional banking services primarily in Italy. In 2010 it recorded a revenue of €401.1 million. It has approximately 5,500 employees.

Invests in: Finmeccanica
Headquarters: Reggio Emilia
Website: www.credem.it

Gruppo Carige

Banca Carige heads one of Italy's main banking, financial, insurance and welfare groups. It has over 1,000 branches in Italy and around two million clients.

Invests in: Finmeccanica
Headquarters: Genoa
Website: www.gruppocarige.it

Intesa SanPaolo

Intesa Sanpaolo is a major banking group in Italy. It also has a growing international presence in Central and Eastern Europe, the Middle East and North Africa. In 2010 it recorded a profit of €2.71 billion. It has approximately 100,000 employees.

Invests in: Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Thales
Headquarters: Turin
Website: www.intesasanpaolo.com

Mediobanca

Mediobanca is an Italian investment bank and international banking group. It has offices in Frankfurt, London, Madrid, Moscow, New York and Paris. In 2010 it recorded a profit of €386.6 million.

Invests in: Finmeccanica
Headquarters: Milan
Website: www.mediobanca.it

UBI Banca

UBI Banca is the fifth-largest banking group in Italy by market capitalization and number of branches. In 2009 the group had 1,945 branches in Italy, half of which were in Lombardy and Piedmont. UBI Banca is principally involved in retail banking. It is also a holding company for a number of Italian cooperative banks and controls the publicly traded online bank IWBank and various insurance and asset management interests. In 2010 it recorded a profit of €172.1 million. It has roughly 19,700 employees.

Invests in: Finmeccanica, Thales
Headquarters: Bergamo
Website: www.ubibanca.it

Unicredit

UniCredit SpA is a banking organization operating in 22 countries. Its core markets are Italy, Austria and Southern Germany. It also has operates in Central and Eastern Europe. The UniCredit Group has investment banking divisions in London, Milan, Munich, Vienna, Budapest and Warsaw. It has some 40 million customers and 162,000 employees. In 2010 it recorded a profit of €1.32 billion.

Invests in: EADS, Finmeccanica, Honeywell International, Thales
Headquarters: Rome
Website: www.unicreditgroup.eu

Japan

Daiwa Securities Group

Daiwa Securities Group is Japan's second-largest securities brokerage. Group members include Daiwa Securities Co. Ltd and Daiwa Securities Capital Markets Co. Ltd. These companies participate in online trading and provide asset management, research and private equity fund services.

Invests in: Boeing, GenCorp
Headquarters: Tokyo
Website: www.daiwa-grp.jp

Mitsubishi UFJ Financial

Mitsubishi UFJ Financial Group is a major Japanese bank holding and financial services company. It is Japan's largest financial group and the world's second-largest bank holding company. In 2010 it recorded a profit of US\$6.81 billion. It has 84,000 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox, Babcock International, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce, Safran, Thales
Headquarters: Tokyo
Website: www.mufg.jp

Mizuho Bank

Mizuho Bank is the core retail banking unit of Mizuho Financial Group. The group is the second-largest financial services company in Japan and one of the three so-called Japanese megabanks. Mizuho Bank has 515 branches and serves over 26 million Japanese households. In 2010 it recorded a net income of ¥149.8 billion. It has some 19,000 employees.

Invests in: Alliant Techsystems, Bechtel, Boeing, EADS, General Dynamics, Honeywell International, Lockheed Martin
Headquarters: Tokyo
Website: www.mizuhobank.co.jp

Nomura

The Nomura Group is one of the major industrial and financial conglomerates in Japan. The group is involved in industries including oil, gas, construction, chemicals and foodstuffs. It is known internationally as a financial powerhouse. Nomura Securities is Japan's best-known stock brokerage firm and an internationally known conglomerate of financial services. Nomura has major offices in New York, Hong Kong and London. Other large group members are Resona Bank and Osaka Gas.

Invests in: Finmeccanica
Headquarters: Tokyo
Website: www.numuraholdings.com

Sumitomo Mitsui Banking

Sumitomo Mitsui Banking is a Japanese bank and member of the Sumitomo Group and Mitsui Group. As of 2009 it was the second-largest bank in Japan by assets. In 2011 it recorded a profit of ¥421.2 billion. It has approximately 22,500 employees.

Invests in: Babcock & Wilcox, BAE Systems, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce, Thales
Headquarters: Tokyo
Website: www.smbc.co.jp

Jordan

Arab Bank

Arab Bank is one of the largest financial institutions in the Middle East. It sells products in personal banking, corporate and investment banking, private banking and treasury. It has over 500 branches in 30 countries. It participates in financial markets and centres in London, New York, Dubai, Singapore, Cyprus, Zurich, Paris, Frankfurt, Sydney and Bahrain.

Invests in: Bechtel, Boeing
Headquarters: Aman
Website: www.arabbank.com

Kuwait

Kuwait Investment Authority

The Kuwait Investment Authority is Kuwait's sovereign wealth fund and managing body of local and foreign investment. It manages the funds of the Kuwaiti government including the Kuwait General Reserve Fund, the Kuwait Future Generations Fund, and other assets committed by the Ministry of Finance. Kuwait's finance minister heads the board of directors and other seats are allocated to the energy minister, governor of the Central Bank of Kuwait and undersecretary of the Ministry of Finance. KIA is estimated to hold in excess of \$200 billion of assets. It is also reportedly one of the largest sovereign wealth funds in the world.

Invests in: Babcock International
Headquarters: Kuwait City
Website: www.kia.gov.kw

National Bank of Kuwait

The National Bank of Kuwait is a major bank of Kuwait. It operates in 17 countries worldwide. The bank offers various financial and investment services for individuals and corporate and institutional clients. In 2010 it recorded revenue of US\$2.21 billion.

Invests in: Bechtel
Headquarters: Kuwait City
Website: www.nbk.com

Libya

Libyan Investment Authority

The Libyan Investment Authority is a holding company that oversees and manages government investment funds in various areas including agriculture, real estate, infrastructure, oil and gas, and shares and bonds. It is a sovereign wealth fund.

Invests in: Finmeccanica
Headquarters: Tripoli
Website: www.lia.ly

Netherlands

AEGON

Aegon is one of the world's largest life insurance and pension groups. It is also a provider of investment products. The group is active in accident and supplemental health insurance and general insurance. It has major operations in the United States, the Netherlands and the United Kingdom. It is an official sponsor of the Dutch football team AFC Ajax.

It has approximately 40 million customers. In 2010 it recorded a profit of €1.76 billion. AEGON acquired Transamerica in 1999, which represents a large part of the company, with more than 12,000 employees working in the United States. In 2011 AEGON rebranded its asset management activities in the United Kingdom as Kames Capital.

Invests in: Alliant Techsystems, Babcock International, BAE Systems, Boeing, Finmeccanica, Honeywell International, Rolls-Royce and Serco Group through its subsidiaries Transamerica and Kames Capital
Headquarters: The Hague
Website: www.aegon.com

ING

ING Group is a global financial institution involved in retail banking, direct banking, commercial banking, investment banking, asset management and insurance services. It has approximately 85 million individual and institutional clients in more than 45 countries.

It is the title sponsor of various marathons including the New York City Marathon, the Miami Marathon, the Georgia Marathon, the Hartford Marathon and the Philadelphia Distance Run. It is also a major sponsor of the Royal Dutch Football Association and the Asian Football Confederation. In 2010 it recorded a profit of €3.22 billion. It has approximately 107,000 employees.

Invests in: Boeing, EADS, Honeywell International, Safran
Headquarters: Amsterdam
Website: www.ing.com

Unilever Pensioenfonds Progress

The Unilever Pension Fund Uninvest Company is responsible for the pension scheme of employees of Netherlands-based Unilever operating companies. At the end of the 2009 book year, Uninvest Company had a total of 24,613 participants.

Invests in: Redhall Group
Headquarters: Rotterdam
Website: www.unileverpensioenfonds.nl

Norway

DnB

DnB is Norway's largest financial services group. Its two largest owners are the Norwegian Ministry of Trade and Industry and Sparebankstiftelsen DnB NOR. The group includes brands such as DNB, Vital, Nordlandsbanken, Cresco, Postbanken, DnB NORD and Carlson. In 2010 it recorded a profit of NOK 14.81 billion. It has approximately 13,430 employees.

Invests in: Honeywell International
Headquarters: Oslo
Website: www.dnb.no

Government Pension Fund Global

The Government Pension Fund Global is a fund into which the surplus wealth produced by Norwegian petroleum income is deposited. Norges Bank Investment Management, a part of the Norwegian Central Bank, manages the fund on behalf of the Ministry of Finance. In 2011 it was valued as the largest pension fund in the world. In 2010 its total value was recorded as US\$525 billion.

An advisory council on ethics was established in 2004 to oversee investment. The role of the council is to provide evaluation on whether or not investment in specified companies is inconsistent with the established ethical guidelines for the fund. The Ministry of Finance makes decisions on the exclusion of companies from the fund's investment universe based on the council's recommendations. The Ministry of Finance has excluded numerous companies involved in arms production and tobacco based on the council's recommendations.

Invests in: Babcock & Wilcox, Babcock International, Jacobs Engineering, Rolls-Royce, Thales
Headquarters: Oslo
Website: www.nbim.no

Russia

Vnesheconombank

Vnesheconombank is a Russian bank commonly called the Russian Development Bank. The Russian government uses it to support and develop the Russian economy. It also formerly managed the state's debts and pension funds. It receives funds directly from the state budget. From 2005 to 2006, both the assets and liabilities of the bank doubled from around \$6 billion to \$12 billion.

Invests in: EADS
Headquarters: Moscow
Website: www.veb.ru



Saudi Arabia

Riyad Bank

Riyad Bank is one of the largest financial institutions in the Middle East. It has a strong focus on corporate and retail banking. It is a financier and arranger of syndicated loans in the oil and petrochemicals industries and many of Saudi Arabia's infrastructure projects. It has 246 branches in Saudi Arabia and offices in London, Houston and Singapore.

Invests in: Bechtel, Boeing, General Dynamics, Lockheed Martin, Thales

Headquarters: Riyadh

Website: www.riyadbank.com



Singapore

DBS

DBS Bank is a major bank in Singapore and the largest bank in South-East Asia. It has market-dominant positions in consumer banking, treasury and markets, asset management, securities brokerage, equity and debt fund-raising in Singapore and Hong Kong. It has more than 100 branches in Singapore. In 2010 it recorded an income of SGD 7 billion. It has approximately 14,000 employees.

Invests in: Boeing, EADS, Honeywell International, Lockheed Martin, Rolls-Royce

Headquarters: Singapore

Website: www.dbs.com

United Overseas Bank

United Overseas Bank is involved in a range of financial services. The bank's subsidiaries include Far Eastern Bank, United Overseas Bank, PT Bank UOB Indonesia, PT Bank UOB Buana and United Overseas Bank. The bank has a network of approximately 500 offices in 18 countries and territories in Asia Pacific, Western Europe and North America. It has approximately 12,100 employees.

Invests in: Alliant Techsystems

Headquarters: Singapore

Website: www.uobgroup.com



Spain

Banco Santander

Santander Group is the largest bank in the Eurozone and one of the largest banks in the world in terms of market capitalization. It is involved in retail, corporate, investment and private banking, as well as insurance, asset management and private equity. It is a major sponsor of the Ferrari Formula One team. In 2010 it recorded a profit of €8.18 billion. It has approximately 179,000 employees.

Invests in: Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Rolls-Royce, Safran, Thales

Headquarters: Santander

Website: www.santander.com

BBVA

BBVA is a multinational Spanish banking group. It is the second-largest bank in Spain and seventh-largest financial institution in the Western world. It operates in 40 countries. It has a strong presence in south European countries, especially Portugal and Italy, and has operations in the United States and Asia. In 2010 it recorded a profit of €4.61 billion. It has approximately 107,000 employees.

Invests in: Babcock & Wilcox, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Thales

Headquarters: Bilbao

Website: www.bbva.com

Grupo Entrecanales

Grupo Entrecanales is a private company controlled by José Entrecanales Ibarra. Its subsidiaries include Acciona and Bestinver. These are involved in civil engineering, construction and infrastructure, and banking and brokerage services.

Invests in: Finmeccanica, Thales

Headquarters: Madrid

Sweden

Nordea Bank

Nordea Bank is a financial services group operating in northern Europe. It has more than 1,400 branches and operates in 19 countries around the world. The international corporate banking division has branches in Frankfurt, London, Singapore, Shanghai and New York. Nordea International private banking has its headquarters in Luxembourg with branches in Brussels, Cannes, Luxembourg, Fuengirola and Zurich. It also has representative offices in São Paulo and Beijing. It has approximately 11 million private and 700,000 active corporate customers. In 2010 it recorded a revenue of €9.33 billion. It has approximately 34,000 employees.

Invests in: Rolls-Royce
Headquarters: Stockholm
Website: www.nordea.com

Skandinaviska Enskilda Banken

Skandinaviska Enskilda Banken is a Swedish financial group. Its activities comprise mainly banking services. It also carries out significant life insurance operations and owns Eurocard. The Wallenberg Family controls the bank through their investment company Investor AB. It has more than 400,000 corporate customers and institutions and more than five million customers in the Nordic and Baltic countries, Poland, Russia and Ukraine. It also operates in ten other countries. In 2010 it recorded a profit of SEK 6.75 billion. It has approximately 20,000 employees.

Invests in: BAE Systems, EADS
Headquarters: Stockholm
Website: www.sebgroup.com

Svenska Handelsbanken

Svenska Handelsbanken is a Swedish bank. It operates in other Nordic countries, as well as the United Kingdom, the Netherlands, Latvia, Estonia and Lithuania. It has 460 branches in Sweden. In 2010 it recorded a profit of SEK 11.03 billion. It has approximately 10,000 employees.

Invests in: General Dynamics
Headquarters: Stockholm
Website: www.handelsbanken.com

Swedbank

Swedbank is a Nordic–Baltic banking group. It has approximately 9.5 million retail customers and 534,000 corporate customers in Sweden, Estonia, Latvia and Lithuania. In Sweden, the group has 377 branches. In the Baltic countries, it has another 224 branches. The group is also present in Copenhagen, Helsinki, Kaliningrad, Kiev, Luxembourg, Moscow, Marbella, New York, Oslo, Shanghai, St Petersburg and Tokyo. In 2010 it recorded a profit of SEK 7.44 billion. It has approximately 17,220 employees.

Invests in: Jacobs Engineering, Redhall Group
Headquarters: Stockholm
Website: www.swedbank.com

Switzerland

Credit Suisse

The Credit Suisse Group is a Swiss multinational financial services company. It has more than 250 branches in Switzerland and operates in more than 50 countries. In 2010 it recorded a profit of CHF 5.1 billion. It has approximately 50,100 employees. Tennis player Roger Federer is a Credit Suisse ambassador.

Invests in: Babcock International, BAE Systems, Boeing, EADS, Finmeccanica, Larsen & Toubro, Northrop Grumman
Headquarters: Zurich
Website: www.credit-suisse.com

Jabre Capital Partners

Jabre Capital Partners is a small investment management company. It manages both alternative and traditional investment strategies. It has approximately 50 employees.

Invests in: Alliant Techsystems
Headquarters: Geneva
Website: www.jabcap.com

Julius Baer

Julius Baer Group is a Swiss banking firm. It is the parent company of Bank Julius Baer. The group manages substantial assets for private and institutional clients from all over the world. The firm's services consist mainly of asset management, wealth management and investment consultancy, and investment funds for private and institutional investors as well as securities and foreign exchange trading. Apart from offices in Switzerland, the bank has offices in London, Frankfurt, Milan, Dubai, Moscow, Grand Cayman, New York, Singapore and Hong Kong. In 2010 it recorded a profit of CHF 352 million. It has approximately 3,580 employees.

Invests in: Safran
Headquarters: Zurich
Website: www.juliusbaer.com

Pictet & Cie

Pictet & Cie is one of Switzerland's largest private banks and a major independent asset management specialist in Europe. It offers private wealth management, institutional asset management, investment funds and services to independent asset managers. The group has offices worldwide including in Barcelona, Dubai, Florence, Frankfurt, Hong Kong, London, Luxembourg, Madrid, Milan, Montreal, Osaka, Paris, Rome, Singapore, Taipei and Tokyo. In 2010 its recorded assets were US\$399 billion. It has approximately 3,000 employees.

Invests in: Rolls-Royce
Headquarters: Geneva
Website: www.pictet.com

Swiss Reinsurance Company

Swiss Reinsurance Company, generally known as Swiss Re, is a Swiss reinsurance company. It is the world's second-largest reinsurer. The company operates through offices in more than 25 countries. In 2010 it recorded a profit of US\$863 million. It has approximately 10,000 employees. In 2009 Warren Buffett invested \$2.6 billion in the company. Warren Buffett is also a major sponsor of the Nuclear Threat Initiative, having pledged \$50 million to the organization. He also is an adviser to the organization.

Invests in: General Dynamics, Honeywell International
Headquarters: Zurich
Website: www.swissre.com

Swisscanto

Swisscanto is an asset manager and fund provider. It is a joint venture of the 24 Swiss cantonal banks. It manages assets worth over CHF 50 billion and has approximately 390 employees, with offices in Switzerland, London, Frankfurt, Milan and Luxembourg.

Invests in: Rolls-Royce, Safran
Headquarters: Bern
Website: www.swisscanto.sh

UBS

UBS is a Swiss global financial services company. It provides investment banking, asset management and wealth management services to private, corporate and institutional clients worldwide, as well as retail clients in Switzerland. It operates in all of the major financial centres worldwide with offices in over 50 countries. It is considered the world's second-largest manager of private wealth assets. In 2010 it recorded a revenue of US\$47.53 billion. It has some 64,000 employees.

Invests in: BAE Systems, Boeing, EADS, Finmeccanica, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman
Headquarters: Zurich and Basel
Website: www.ubs.com

Taiwan

Bank of Taiwan

The Bank of Taiwan is a bank administered and owned by the Executive Yuan of the Republic of China. It operates a total of 169 domestic branches, as well as branches in Tokyo, Singapore, Hong Kong and the People's Republic of China. Branches have also been established in New York, Los Angeles, London and South Africa. In 2007 it recorded a revenue of NT \$10.9 billion. It has approximately 6,500 employees.

Invests in: General Dynamics
Headquarters: Taipei
Website: www.bot.com.tw

Chang Hwa Commercial Bank

Chang Hwa Bank is a Taiwan-based financial institution that offers both retail and commercial banking services to private and corporate customers. It has seven overseas branches and representative offices, as well as 175 domestic branches, four sub-branches and five securities brokers. In 2007 it recorded revenue of NT \$8.48 billion.

Invests in: Alliant Techsystems
Headquarters: Taichung
Website: www.chb.com.tw

First Commercial Bank

First Commercial Bank is one of Taiwan's largest privately owned banks. It provides various financial services in Taiwan and internationally. It has 16 branches in the United States, as well as branches in Asia-Pacific including Australia and Japan. It has 4,887 employees in the United States alone. It has approximately US\$35.3 billion of assets under management. Synovus Financial Corporation is the name of its holding company.

Invests in: Alliant Techsystems
Headquarters: Taipei
Website: www.firstbank.com

Hua Nan Financial Holdings

Hua Nan Financial Holdings provides commercial banking, securities and investment banking, insurance and asset management services primarily in Taiwan. It has seven subsidiaries, including HNCB, Hua Nan Securities, South China Insurance, Hua Nan Investment Trust, Hua Nan Venture Capital, Hua Nan Management Consulting Company and Hua Nan Asset Management Company. As of the end of 2008, it had NT \$1,710 billion of assets under management.

Invests in: Alliant Techsystems
Headquarters: Taipei
Website: www.hnfhc.com.tw

United Arab Emirates

Abu Dhabi Commercial Bank

Abu Dhabi Commercial Bank, commonly called ADCB, is a bank in the United Arab Emirates. It is a public joint stock company that provides retail, commercial, investment, merchant, brokerage and fund management. It has 49 branches in the UAE and two branches in India. The government of Abu Dhabi through the Abu Dhabi Investment Authority holds 65 per cent of ADCB shares. The ADCB is one of the largest banks in the UAE in terms of shareholder funds and market capitalization.

Invests in: Bechtel
Headquarters: Abu Dhabi
Website: www.adcb.com

National Bank of Abu Dhabi

National Bank of Abu Dhabi is a bank operating in the United Arab Emirates. It was a major sponsor of the Abu Dhabi Grand Prix in 2010.

Invests in: Boeing, General Dynamics
Headquarters: Abu Dhabi
Website: www.nbad.com

United Kingdom

Aberdeen Asset Management

Aberdeen Asset Management is an international investment management group, managing assets for both institutions and private investors from offices around the world. The company operates mainly in the United Kingdom but has a growing presence worldwide, particularly in Asia, Oceania and the Americas. It has 40 offices in 24 countries. It has approximately 1,800 staff.

Invests in: Rolls-Royce
Headquarters: Aberdeen
Website: www.aberdeen-asset.com

Altium Capital

Altium is a European investment bank. It has seven offices across Europe. Since 2005 Altium has completed mergers and acquisitions transactions with a total value in excess of £14 billion.

Invests in: Redhall Group
Headquarters: London
Website: www.altiumcapital.com

Aviva

Aviva is a global insurance company. It is the sixth-largest insurance company in the world measured by net premium income. It is involved in general and life insurance as well as long-term savings and fund management. It operates in 28 countries. It has around £379 billion of assets under management. The group has 46,000 employees, serving 53 million customers worldwide. It is the main sponsor for Norwich City Football Club and rugby union's English Premiership. It also has naming rights to the Lansdowne Road Stadium in Ireland.

Invests in: Babcock International, BAE Systems, Boeing, GenCorp, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: London
Website: www.aviva.com

BAE Systems Pension Funds Investment Management

The BAE Systems Pension Funds Investment Management manages the pension fund of BAE Systems' employees.

Invests in: Babcock International
Headquarters: London

Baillie Gifford & Co.

Baillie Gifford is an investment management firm, which is wholly owned by 36 partners, all of whom work full-time for the firm. As of 2011 assets under management exceeded £66 billion. It has approximately 700 employees.

Invests in: Rolls-Royce, Serco Group
Headquarters: Edinburgh
Website: www.bailliegifford.com

Barclays

Barclays is a global banking and financial services company. It has operations in over 50 countries across Africa, Asia, Europe, North America and South America. It has roughly 48 million customers. As of June 2010 it had total assets of €1.94 trillion. Barclays is a sponsor of the Premier League, the Churchill Cup and professional golf tournaments worldwide including the Scottish Open on the PGA European Tour and the Singapore Open. It also sponsors golfers Phil Mickelson and Darren Clarke.

Invests in: Babcock International, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Larsen & Toubro, Lockheed Martin, Redhall Group, Rolls-Royce, Serco Group, Thales
Headquarters: London
Website: www.barclays.com

Charles Stanley Group

Charles Stanley Group is a British Investment Management firm. The group consists of four principal trading companies, which cover a wide range of financial services. As of 2010 it had approximately £13.5 billion of investments under management. It has approximately 900 employees.

Invests in: Redhall Group
Headquarters: London
Website: www.charlesstanleyplc.co.uk

Close Brothers Group

Close Brothers Group is a British specialist financial services group. The company makes loans, trades securities and provides advice and investment management solutions to a wide range of clients. Close Brothers' banking division provides specialist lending to small and medium-sized businesses and individuals across a diverse range of asset classes, and also offers deposit-taking services. The securities division provides trading services to retail brokers and institutions principally through Winterflood. The asset management division provides a range of advice, investment management and self-directed services to private, institutional and corporate clients and professional advisers. In 2011 it recorded revenue of £549 million. It has some 2,500 employees.

Invests in: Redhall Group
Headquarters: London
Website: www.closebrothers.co.uk

Co-operative Group

The Co-operative Group is a consumer cooperative with a diverse range of business interests. It is cooperatively run and owned by its members. It is the largest organization of this type in the world, with over six million members. All members have a say in how the business is run. The group comprises businesses in food, travel, financial services, health care, funeral care, legal services, motors and online electrical. In 2010 it had revenue of £11.9 billion. It has over 123,000 employees.

Invests in: Rolls-Royce, Serco Group

Headquarters: Manchester

Website: www.co-operative.coop

Collins Stewart Hawkpoint

Collins Stewart Hawkpoint is an independent financial advisory group. The group is organized around four operational divisions: advisory, corporate broking, securities and wealth management.

Invests in: Redhall Group

Headquarters: London

Website: www.collinsstewarthawkpoint.com

Egerton Capital

Egerton Capital is a privately owned hedge fund sponsor. The firm invests in the public equity markets of Europe.

Invests in: Babcock International

Headquarters: London

Website: www.egercap.co.uk

F&C Asset Management

F&C Asset Management is an asset management company. It is a stand-alone publicly listed asset manager. In 2010 its net income was £13.4 million.

Invests in: Babcock International, BAE Systems

Headquarters: London

Website: www.fandc.com

Hermes

Hermes Fund Managers is a pension fund manager and is the principal manager of the United Kingdom's largest pension scheme, BT Pension Scheme. It also manages portfolios for over 200 other clients, including many major pension schemes. In 2009 it had approximately US\$36.5 billion in assets under management. As part of its equity ownership services, Hermes also advises and speaks for over ten global pension funds and other long-term investors on sustainability issues affecting the long-term value of their portfolio companies, such as environmental, social and governance related matters.

Invests in: Babcock International

Headquarters: London

Website: www.hermes.co.uk

HSBC

HSBC is a global banking and financial services company. It is a universal bank and is organized within four business groups. These groups are commercial banking, global banking and markets, personal financial services and global private banking. In 2011 it was the world's second-largest banking and financial services group and second-largest public company. It has around 7,500 offices in 87 countries and territories across Africa, Asia, Europe, North America and South America. It has around 100 million customers. In 2010 it had total assets of \$2.42 trillion. It recorded a profit of US\$13.16 billion.

It is the major sponsor for various golf tournaments including the World Match Play Championship, the Women's World Match Play Championship and the Open Championship. In football HSBC sponsors French club AS Monaco and Mexican club C. F. Pachuca. In rugby league it sponsors the Telford Raiders. In Australia, HSBC sponsors the New South Wales Waratahs rugby team and the Hawthorn Football Club. It is also the official banking partner of the Wimbledon Tennis Championships.

Invests in: Babcock International, Bechtel, EADS, Finmeccanica, Honeywell International, Larsen & Toubro, Redhall Group, Rolls-Royce, Safran, Serco Group, Thales

Headquarters: London

Website: www.hsbc.com

Ignis Asset Management

Ignis Asset Management is an asset management company. It is involved in financial services, investment management, asset management and fund management. As of 2011 it had £78 billion of assets under its management.

Invests in: Babcock International, Rolls-Royce, Serco Group
Headquarters: London
Website: www.ignisasset.com

Invesco

Invesco is an independent investment management company that is incorporated in Bermuda, headquartered in Atlanta, Georgia, and has branch offices in 20 countries. Invesco operates under the Invesco, Trimark, Invesco Perpetual, Atlantic Trust, WL Ross and Powershares brand names. In 2007 it recorded revenue of US\$3.88 billion. It has approximately 6,189 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox, Babcock International, BAE Systems, GenCorp, General Dynamics, Honeywell International, Rolls-Royce, Serco Group
Headquarters: Atlanta, Georgia
Website: www.invesco.com

Investec Asset Management

Investec Asset Management is a specialist provider of active investment products and services to institutional and individual investors. Investec Asset Management has over 1,500 clients including sovereign wealth funds, pension funds, government bodies, charities and insurance companies, as well as relationships with global distributors and financial advisers. It is an independently managed entity within the Investec Group.

Invests in: Rolls-Royce
Headquarters: London
Website: www.investecassetmanagement.com

Jupiter Asset Management

Jupiter Asset Management is a privately owned investment manager. It primarily provides its services to private and institutional investors. The firm launches and manages equity, fixed income and balanced mutual funds. It also manages hedge funds for its clients. Jupiter Asset Management operates as a subsidiary of GAM Holding AG.

Invests in: Babcock International, BAE Systems
Headquarters: London
Website: www.jupiteronline.co.uk

Lansdowne Partners

Lansdowne Partners is a privately owned investment management organization. Lansdowne Partners Limited Partnership operates as a subsidiary of Lansdowne Partners Limited.

Invests in: Serco Group
Headquarters: London
Website: www.lansdownepartners.com

Legal & General

Legal & General Group is a multinational financial services group. Its products include life insurance, general insurance, pensions and investments. It has operations in the United Kingdom, Egypt, France, Germany, the Gulf, India, the Netherlands and the United States. As of 2010 it had £354 billion under its management. In 2010 its revenue was £38.44 billion. It has approximately 9,324 employees.

Invests in: Babcock International, BAE Systems, Rolls-Royce, Serco Group
Headquarters: London
Website: www.legalandgeneralgroup.com

Lewis Trust Group

The Lewis Trust Group has worldwide interests in retailing, leisure, financial services and property. It has more than 10,000 employees.

Invests in: Redhall Group
Headquarters: London
Website: www.ltg-group.com

Liontrust Asset Management

Liontrust Asset Management is a publicly owned investment management company. It has approximately 46 employees.

Invests in: Redhall Group
Headquarters: London
Website: www.liontrust.co.uk

Lloyds Banking Group

Lloyds Banking Group is a major British financial institution. It operates in the United States, Europe, the Middle East and Asia. It is an official partner of the London Olympic Games 2012. In 2010 it recorded revenue of £43.47 billion. It has approximately 104,000 employees. In December 2008 the British anti-poverty charity War on Want released a report documenting the extent to which Lloyds and other British commercial banks invest in, provide banking services for and make loans to arms companies. The charity writes in its report that Lloyds holds shares in the British arms sector totalling £717.5 million, and serves as principal banker for BAE Systems, the United Kingdom's largest arms company. The report also details Lloyds' dealings with known producers of cluster munitions and depleted uranium munitions.

Invests in: Babcock International, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Lockheed Martin, Rolls-Royce, Serco Group
Headquarters: London
Website: www.lloydsbankinggroup.com

Longview Partners

Longview Partners is an asset management firm. It is wholly owned by its co-founders and eight additional employee partners. It has 34 employees in its offices in London and Guernsey. It manages assets on behalf of corporate and public pension funds, local authority pension funds, foundations, endowments and charities, as well as private clients. Longview Partners is a signatory to the UN Principles for Responsible Investment, a voluntary and aspirational framework for incorporating environmental, social and corporate governance issues into mainstream investment decision-making and ownership practices.

Invests in: Northrop Grumman
Headquarters: London
Website: www.longview-partners.com

Majedie Asset Management

Majedie Asset Management is involved in fund management, dealing, client service and administration. Assets under management total around £6 billion for over 100 institutional clients.

Invests in: Babcock International, BAE Systems, Serco Group
Headquarters: London
Website: www.majedie.com

Marathon Asset Management

Marathon Asset Management LLP is an independent investment management company based in the West End of London. Marathon manages equities for a globally diverse client base comprising a broad range of institutional investors.

Invests in: BAE Systems
Headquarters: London
Website: www.marathon.co.uk

Mondrian Investment Partners

Mondrian is a value-oriented money manager with offices in London and Philadelphia. It has institutional investors from around the world. They are involved in a range of products that cover equity, fixed income and balanced mandates.

Invests in: Serco Group
Headquarters: London
Website: www.mondrian.com

National Farmers Union Mutual Insurance Society

The National Farmers Union Mutual Insurance Society, or NFU Mutual, is a UK-registered mutual insurance composite. It underwrites more than £1 billion (2008) in annual premium in life and general insurance lines for rural communities within the United Kingdom. In contrast to insurance companies that are owned by their shareholders, the policyholders own NFU Mutual. It has over 300 offices and over 5,000 staff and agents spread across the country. It enjoys a close relationship with the National Farmers Union.

Invests in: Babcock International
Headquarters: Stratford upon Avon, Warwickshire
Website: www.nfumutual.co.uk

North Atlantic Value

North Atlantic Value is an independently owned investment group and part of the Harwood Capital Management Group. Its shareholders take an active interest in the running of the businesses in which they invest. In 2011 North Atlantic Value had £600 million of funds under its management.

Invests in: Redhall Group
Headquarters: London
Website: www.navalvalue.co.uk

Octopus Investments

Octopus Investments is involved in a range of products including venture capital trusts, enterprise investment schemes and inheritance tax investment solutions, as well as multi-manager and absolute return funds.

Invests in: Redhall Group
Headquarters: London
Website: www.octopusinvestments.com

Old Mutual

Old Mutual is an international long-term savings, investment and protecting group. It has more than 15 million customers, with operations in 33 countries.

Invests in: Alliant Techsystems, Babcock International, Honeywell International, Jacobs Engineering, Northrop Grumman
Headquarters: London
Website: www.oldmutual.com

Premier Asset Management

Premier Asset Management is a privately owned investment manager. The firm provides its services to private and institutional investors. It launches separate client-focused equity and balanced mutual funds. The firm invests in the public equity and fixed income markets across the globe. It has roughly 70 employees.

Invests in: Babcock International
Headquarters: Guildford
Website: www.premierassetmanagement.co.uk

Prudential

Prudential is a multinational life insurance and financial services company. Its largest division is Prudential Corporation Asia, which has over 15 million customers across 12 Asian markets and is a top-three provider of life insurance in China, Hong Kong, India, Indonesia, Malaysia, Singapore, the Philippines and Vietnam. Its British division has around seven million customers and provides life insurance and pensions. Prudential also owns Jackson National Life Insurance Company, which is one of the largest life insurance providers in the United States, and M&G Investments, a Europe-focused fund manager. In 2010 it had a net income of £1.43 billion. It has approximately 25,000 employees.

Invests in: Alliant Techsystems, Babcock International, BAE Systems, GenCorp, Redhall Group, Rolls-Royce
Headquarters: London
Website: www.prudential.co.uk

Royal Bank of Scotland

The Royal Bank of Scotland provides branch-banking facilities throughout the British Isles. It has around 700 branches, mainly in Scotland, though there are branches in many larger towns and cities throughout England and Wales. The British government owns approximately 84 per cent of the bank, which has approximately 141,000 employees.

Invests in: Alliant Techsystems, Babcock International, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Redhall Group, Rolls-Royce, Safran, Serco Group, Thales
Headquarters: Edinburgh
Website: www.rbs.co.uk

Royal London Mutual Insurance Society

The Royal London Group is the United Kingdom's largest mutual insurance company. It has approximately 3.1 million customers. In 2010 it recorded £42.4 billion of funds under management.

Invests in: Babcock International
Headquarters: London
Website: www.royallondongroup.co.uk

Schroder Investment Management

Schroders is a multinational asset management company with approximately 3,000 employees worldwide. It operates from 32 offices in 25 countries around Europe, America, Asia and the Middle East. Its clients include corporations, insurance companies, local and public authorities, charities, pension funds, high-net-worth individuals and retail investors. In 2010 it recorded a net income of £311.2 million.

Invests in: Babcock International, Larsen & Toubro, Rolls-Royce
Headquarters: London
Website: www.schroders.com

Standard Chartered

Standard Chartered is a multinational financial services company. It is a universal bank and has operations in consumer, corporate and institutional banking and treasury services. It operates in more than 70 countries and has a network of over 1,700 branches and outlets, employing around 80,000 people. It is a sponsor of the Liverpool Football Club. In 2010 it recorded a net income of US\$4.23 billion.

Invests in: Boeing, Honeywell International, Larsen & Toubro
Headquarters: London
Website: www.standardchartered.com

Standard Life

Standard Life is a long-term savings and investment business. It has 1.5 million shareholders in more than 50 countries and over six million customers. The company operates in North America, Europe, India and China. In 2010 it recorded a net income of £336 million. It has approximately 10,500 employees.

Invests in: Babcock International, BAE Systems, General Dynamics, Rolls-Royce
Headquarters: Edinburgh
Website: www.standardlife.com

SVG Capital

SVG Capital is a British private equity and investment management business. In 2010 it recorded a net income of £28 million.

Invests in: Redhall Group
Headquarters: London
Website: www.svgcapital.com

TT International

TT International is a London-based private partnership. Its focus is international equities.

Invests in: Babcock International, Rolls-Royce
Headquarters: London
Website: www.ttint.com

Universities Superannuation Scheme

The Universities Superannuation Scheme is a pension scheme in the United Kingdom whose members include academic and academic-related staff (including senior administrative staff) in many British universities, mainly those that were universities prior to 1992. It is the second-largest pension scheme in the United Kingdom by fund size.

Invests in: Babcock International, Redhall Group
Headquarters: Liverpool
Website: www.uss.co.uk

United States

Abrams Bison Investments

Abrams Bison Investments is a financial investment advisory firm. This firm has an estimated US\$628.47 million of assets under management.

Invests in: General Dynamics
Headquarters: Bethesda, Maryland

Adage Capital Management

Adage Capital Management is a privately owned hedge fund sponsor. At the end of September 2010, it had US\$24 billion in securities. The firm focuses on managing assets predominantly for endowments and foundations such as Harvard University, Northwestern University, Dartmouth College, the American Red Cross and the Getty Foundation.

Invests in: General Dynamics
Headquarters: Boston, Massachusetts
Website: www.adagecapital.com

Affiliated Managers Group

Affiliated Managers Group is a US holding company that owns a number of financial-sector companies. In 2011 it managed US\$306 billion in assets.

Invests in: Alliant Techsystems, Babcock International
Headquarters: Beverly, Massachusetts
Website: www.amg.com

AIG

American International Group is a multinational insurance corporation. It operates in the United States and also has offices in London, Paris and Hong Kong. In 2010 it managed assets of US\$683.43 billion. It has approximately 96,000 employees.

Invests in: BAE Systems, Boeing, Finmeccanica, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: New York, New York
Website: www.AIG.com

Algert Coldiron Investors

Algert Coldiron Investors is an asset management firm. It manages equity, active extension and market-neutral strategies for a variety of institutional clients. These include public and corporate pension plans, foundations and endowments, hedge funds of funds and family offices. It is wholly employee-owned.

Invests in: GenCorp
Headquarters: San Francisco, California
Website: www.acinvestors.net

Allstate Corporation

The Allstate Corporation is the second-largest personal lines insurer in the United States and the largest that is publicly held. The company also has personal lines insurance operations in Canada. It sponsors many sporting events, including the Allstate Sugar Bowl, the Allstate 400 at the Brickyard NASCAR race, and the US Olympic Committee. In 2009 Allstate's total revenue was US\$32 billion, of which US\$26.2 billion came from Property Liability. It has approximately 35,000 employees.

Invests in: Alliant Techsystems, Babcock International, Boeing, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: Northfield Township
Website: www.allstate.com

American Century Investments

American Century Investments is a privately controlled and independent investment management firm involved in mutual funds, institutional separate accounts, commingled trusts, and sub-advisory accounts. It has offices in New York, London, Hong Kong, Mountain View, California and Kansas City, Missouri. It has approximately 1,300 employees.

Invests in: Alliant Techsystems, General Dynamics
Headquarters: Kansas City, Missouri
Website: www.americancentury.com

American Express Company

American Express Company is an US multinational financial services corporation best known for its credit card, charge card and traveller's cheque businesses. In 2010 it had a net income of US\$4.06 billion. It has approximately 61,000 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox, BAE Systems, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman, Rolls-Royce
Headquarters: New York, New York
Website: www.americanexpress.com

American National Insurance Company

American National Insurance Company is a major American insurance corporation operating in all 50 US states, the District of Columbia, Puerto Rico, and American Samoa. The company is involved in insurance products and services including life insurance, annuities, health insurance, property and casualty insurance, credit insurance and pension plan services. In 2008 it managed assets of US\$20.1 billion. It has approximately 3,000 employees.

Invests in: General Dynamics, Northrop Grumman
Headquarters: Galveston
Website: www.anico.com

Anchor Capital Holdings

Anchor Capital is a value-oriented investment adviser specializing in active investment management for families, trusts and institutions, including foundations and endowments. As of December 2007, it had US\$7.2 billion of assets under management. Anchor Capital serves clients through its discretionary management accounts and separately managed accounts divisions.

Invests in: Babcock & Wilcox
Headquarters: Boston, Massachusetts
Invests in: www.anchorcapital.com

Angelo, Gordon & Co.

Angelo Gordon & Co. is an American private equity firm headquartered in New York with offices in Los Angeles, London, Hong Kong, Seoul, Tokyo and Oak Brook, Illinois. Within its hedge fund activities, it focuses on a number of alternative investment strategies. The firm also manages convertible arbitrage, credit arbitrage, leveraged loans, merger arbitrage, real estate, power and energy as well as multi-strategy portfolios for its clients.

Invests in: GenCorp
Headquarters: New York, New York
Website: www.angelogordon.com

AQR Capital Management

AQR Capital Management is a hedge fund founded in 1998 by former Goldman Sachs trader, Clifford S. Asness. The firm invests in public equity and hedges markets across the globe. In 2007 it had US\$35 billion in assets under management. It has 200 employees.

Invests in: GenCorp, Safran
Headquarters: Greenwich, Connecticut
Website: www.aqr.com

Argyll Research

Argyll Research is an investment advisory firm.

Invests in: Jacobs Engineering
Headquarters: Wilmington, North Carolina

Aronson Johnson Ortiz

Aronson Johnson Ortiz is an institutional investment manager. It manages tax-exempt and separate-account portfolios of value-oriented US equities.

Invests in: Alliant Techsystems, Northrop Grumman
Headquarters: Philadelphia
Website: www.ajopartners.com

Arrowstreet Capital

Arrowstreet Capital is an asset management firm that provides global equity investment strategies to institutional investors. Specific products include global equities, international equities, global small-cap equities, international small-cap equities, emerging market equities, and global long and short equities. It is structured as a limited partnership owned and controlled by its senior professionals.

Invests in: BAE Systems, GenCorp
Headquarters: Boston, Massachusetts
Website: www.arrowstreetcapital.com

Artisan Partners

Artisan Partners is an independent investment management firm focused on providing high value-added, active investment strategies to clients globally.

Invests in: Babcock International, Jacobs Engineering
Headquarters: Milwaukee, Wisconsin
Website: www.artisanpartners.com

Bank of America

Bank of America Corporation is a US multinational banking and financial services corporation serving clients in more than 150 countries worldwide. As of 2010, Bank of America is the fifth-largest company in the United States by total revenue. It serves approximately 57 million consumers and small businesses. In 2010 it managed assets of US\$2.26 trillion. It has approximately 5,900 banking centres and 288,000 employees. Its subsidiaries include Bank of America Home Loans, Bank of America Merrill Lynch, Merrill Lynch and the US Trust Corporation.

Invests in: Alliant Techsystems, Babcock & Wilcox, Babcock International, BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman, Rolls-Royce, Serco Group
Headquarters: Charlotte, North Carolina
Website: www.bankofamerica.com

BB&T Corporation

BB&T Corporation is a US bank involved in commercial and retail banking services and other financial services such as insurance, investments, retail brokerage, mortgage, corporate finance, consumer finance, payment services, international banking, leasing and trust. It operates in more than 1,850 financial centres in the United States. In 2011 it managed assets of US\$157 billion. It has approximately 31,600 employees.

Invests in: Alliant Techsystems, Babcock & Wilcox

Headquarters: Winston-Salem, North Carolina

Website: www.bbt.com

Beach Point Capital Management

Beach Point Capital Management is an investment manager specializing in credit-related investments. Its clients include public and corporate pension funds and endowments in the United States and Europe. It has offices in Los Angeles, New York and London.

Invests in: GenCorp

Headquarters: Los Angeles, California

Website: www.beachpointcapital.com

Bessemer Group

The Bessemer Group manages more than US\$65 billion in assets for wealthy individuals and families who have at least US\$10 million to invest. Its main subsidiary, Bessemer Trust, administers portfolios with holdings in domestic and international equities and bonds, as well as such alternative assets as hedge funds, real estate and private equity funds. The group also provides trust, custody, tax and estate planning, strategic philanthropy and financial advisory services. It has 15 US offices in addition to offices in London and the Cayman Islands.

Invests in: GenCorp, Honeywell International

Headquarters: Woodbridge, Virginia

BlackRock

BlackRock is an American multinational investment management corporation and the world's largest asset manager. It serves clients in 60 countries, maintaining a major presence in North America, Europe, Asia-Pacific and the Middle East. It has offices in San Francisco, Chicago, Los Angeles, Dallas, Princeton, Wilmington, London, Zurich, Paris, Frankfurt, São Paulo, Tokyo, Hong Kong, Taipei, Beijing, Sydney, Dubai and several other cities. It has approximately 10,200 employees, including more than 700 investment professionals worldwide. In 2010 it managed assets of US\$178.4 billion.

Invests in: Alliant Techsystems, Babcock & Wilcox, Babcock International, BAE Systems, Boeing, EADS, Finmeccanica, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Larsen & Toubro, Lockheed Martin, Northrop Grumman, Rolls-Royce, Safran, Serco Group

Headquarters: New York, New York

Website: www.blackrock.com

Blaylock & Co.

Blaylock & Company is a full-service investment banking firm that provides underwriting, mergers and acquisitions, and research and trading services to national and international clients. It has approximately 50 employees. B&C has completed assignments for some of the world's most prominent corporations, governments and institutional investors, including GE, CIT, Prudential, AIG, UPS, and New York State.

Invests in: Boeing

Headquarters: New York, New York

BNY Mellon

The Bank of New York Mellon Corporation is a global financial services company employing more than 48,000 staff worldwide. It has over US\$1.2 trillion in assets under management and \$25.5 trillion in assets under custody and administration, thereby being the largest deposit bank in the world. It operates in six primary financial services sectors, including asset management, asset servicing, wealth management, broker-dealer and advisory services, issuance services, and treasury services.

Invests in: Alliant Techsystems, Babcock & Wilcox, BAE Systems, Bechtel, Boeing, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman, Serco Group, Thales

Headquarters: New York, New York

Website: www.bnymellon.com

Brown Advisory Holdings

Brown Advisory is an independently owned investment firm. It has principal offices in Washington, DC, Wilmington, DE, Boston and London. It has more than 250 employees.

Invests in: GenCorp, Jacobs Engineering
Headquarters: Baltimore, Maryland
Website: www.brownadvisory.com

Calamos Asset Management

Calamos Asset Management is a global investment management firm. Clients include public and private pensions, foundations, endowments, corporations, financial advisors, families and individuals. It has more than US\$33.7 billion in assets under management and approximately 300 employees.

Invests in: Babcock & Wilcox
Headquarters: Naperville, Illinois
Website: www.calamos.com

CalPERS

The California Public Employees' Retirement System, or CalPERS, is an agency in the California executive branch that manages pension and health benefits for more than 1.6 million California public employees, retirees and their families. As of December 2008, CalPERS managed the largest public pension fund in the United States with US\$179.2 billion in assets. It has approximately 2,300 employees.

Invests in: GenCorp
Headquarters: Sacramento, California
Website: www.calpers.ca.gov

Cantillon Capital Management

Cantillon Capital Management is an employee-owned hedge fund sponsor. The firm provides its services to private investment funds, corporate pension plans, state or municipal pension plans, charitable institutions, foundations, endowments and other US and international institutions.

Invests in: Babcock International, Serco Group
Headquarters: New York, New York
Website: www.cantillon.com

Capital Group

The Capital Group Companies is one of the world's largest investment management organizations. It comprises a group of investment management companies, including Capital Research and Management, American Funds, Capital Bank and Trust, Capital Guardian and Capital International. It has approximately 7,000 employees.

Invests in: BAE Systems, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman, Serco
Headquarters: Los Angeles, California
Website: www.capgroup.com

Castle Creek Arbitrage

Castle Creek Arbitrage is a financial investment advisory firm. It has approximate annual revenue of US\$1.3 million. It has approximately 15 employees.

Invests in: Alliant Techsystems
Headquarters: Chicago, Illinois
Website: www.castle-creek.com

Causeway Capital Management

Causeway Capital Management is an independently owned investment management firm specializing in managing global, international and emerging markets equities. Its clients include corporate, public and Taft-Hartley pension plans, mutual funds, endowments, foundations, corporations and other institutions. Causeway manages approximately US\$11 billion in assets and has 49 employees.

Invests in: Rolls-Royce
Headquarters: Los Angeles, California
Website: www.causewayfunds.com

Chieftain Capital Management

Chieftain Capital Management is a financial investment advisory firm that manages approximately 170 accounts and has an estimated US\$1.41 billion of assets under its management.

Invests in: Lockheed Martin
Headquarters: New York, New York
Website: www.chieftaincapital.com

Citadel Investment Group

Citadel Investment Group is a global financial institution. It is involved in equity and options market-making. Citadel has offices in New York, Hong Kong, San Francisco, Boston and London. It employs over 1,400 individuals globally.

Invests in: Alliant Techsystems, GenCorp

Headquarters: Chicago, Illinois

Website: www.citadelgroup.com

Citi

Citi is an American multinational financial services corporation that operates in 140 countries with approximately 16,000 offices. It employs more than 260,000 staff and has over 200 million customers. Citigroup is one of the “big four” banks in the United States, along with Bank of America, JP Morgan Chase and Wells Fargo. In 2010 it had assets under management of US\$1.91 trillion. Its subsidiaries include Banamex, Citibank, Citigold, Citi Private Bank, Egg Banking, Metalmark Capital, Morgan Stanley Smith Barney, Nikko Citigroup, Railmark Holdings, Salomon BIG and Sedna Finance.

Invests in: BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, GenCorp, Honeywell International, Larsen & Toubro, Lockheed Martin, Northrop Grumman, Rolls-Royce, Safran, Thales

Headquarters: New York, New York

Website: www.citigroup.com

CNH Partners

CNH Partners is a privately owned investment manager.

Invests in: GenCorp

Headquarters: Greenwich, Connecticut

CNO Financial Group

CNO Financial Group engages in the development, marketing and administration of health insurance, annuity, individual life insurance and other insurance products for middle-income markets in the United States. It has more than four million customers.

Invests in: Lockheed Martin, Northrop Grumman

Headquarters: Carmel, Indiana

Website: www.cnoinc.com

Comerica

Comerica is an American financial services company. The company’s operating units include business banking, retail banking and wealth management. It has retail-banking operations in Arizona, California, Florida, Michigan and Texas, and select business operations in several other US states, as well as in Canada and Mexico. In 2011 it had US\$54.1 billion in total assets. It has approximately 9,100 employees.

Invests in: Babcock & Wilcox, Boeing

Headquarters: Detroit, Michigan

Website: www.comerica.com

Cowen & Company

Cowen & Company is the broker-dealer business of Cowen Group. It offers a range of investment banking services, including equity and convertible debt financing, private placements of equity and debt, and mergers and acquisitions advisory services, including strategic alliances, joint ventures and restricted security sales. Cowen & Company also provides clients with access to proprietary research and institutional sales and trading services, primarily in the health care, technology, media and telecommunications, aerospace and defence, financial technology, and alternative energy sectors. In 2010 it recorded revenue of US\$233.8 billion.

Invests in: General Dynamics

Headquarters: New York, New York

Website: www.cowen.com

D.F. Dent & Company

D. F. Dent & Company is an employee-owned investment manager. The firm primarily provides its services to high-net-worth individuals. It also manages accounts for investment companies, pension and profit-sharing plans, charitable organizations, corporations, and state or municipal government entities. In 2011 it recorded US\$3.24 billion in equities and fixed-income securities.

Invests in: Jacobs Engineering

Headquarters: Baltimore, Maryland

Website: www.dfdent.com

Davis Selected Advisers

Davis Selected Advisers is an independent investment firm. The company operates several types of funds, from large cap blend to multi-cap international.

Invests in: Lockheed Martin
Headquarters: Tucson, Arizona
Website: www.davisadvisers.com

Dimensional Fund Advisors

Dimensional Fund Advisors is an investment firm based in the United States, with regional offices in Amsterdam, Berlin, London, Santa Monica, Sydney, and Vancouver. It has approximately 500 employees.

Invests in: Babcock International, GenCorp, Northrop Grumman
Headquarters: Austin, Texas
Website: www.dimensional.com

Dreman Value Management

Dreman Value Management is an investment firm. The firm has six value-based equity mutual funds for individual investors that include both domestically focused and internationally focused strategies.

Invests in: Alliant Techsystems
Headquarters: Jersey City, New Jersey
Website: www.dreman.com

Eaton Vance

Eaton Vance is one of the oldest investment management firms in the United States. It provides investment products to individuals, institutions and financial professionals, including wealth management solutions. It has approximately 1,024 employees.

Invests in: Boeing, General Dynamics, Jacobs Engineering, Lockheed Martin
Headquarters: Boston, Massachusetts
Website: www.eatonvance.com

Eidelman Virant Capital

Eidelman Virant Capital is a private investment company.

Invests in: GenCorp
Headquarters: St Louis, Missouri
Website: www.eidelmanvirant.com

Evercore Partners

Evercore Partners is an independent investment banking advisory firm. It has offices in New York, Boston, Chicago, Houston, Los Angeles, Minneapolis, San Francisco, Washington DC, London, Aberdeen, Mexico City, Monterrey, Hong Kong, Rio de Janeiro, and São Paulo. In 2010 it recorded revenue of US\$376 million. It has approximately 610 employees.

Invests in: Boeing, Honeywell International
Headquarters: New York, New York
Website: www.evercore.com

Farmers Insurance Group

Farmers Insurance Group is a personal lines property and casualty insurance group. It is the third-largest insurance group in the United States, servicing over 10 million households in 41 states. Although headquartered in California, it is wholly owned by Zurich Financial Services in Switzerland. In 2007 it recorded net income of US\$2.15 billion. It has approximately 25,935 employees.

Invests in: Northrop Grumman
Headquarters: Los Angeles, California
Website: www.farmers.com

Fidelity

Fidelity Investments is a US multinational financial services corporation. It is one of the largest mutual fund and financial services groups in the world. In 2010 it had approximately US\$207.9 billion in assets under management. It has about 38,000 employees.

Invests in: Alliant Techsystems, Babcock International, BAE Systems, Boeing, GenCorp, Honeywell International, Jacobs Engineering, Lockheed Martin, Redhall Group, Rolls-Royce, Safran, Serco Group
Headquarters: Boston, Massachusetts
Website: www.fidelity.com

Fiduciary Management

Fiduciary Management is an employee-owned independent money management firm. It manages over US\$12.5 billion in assets for domestic and international institutions, individual investors and registered investment advisers.

Invests in: Alliant Techsystems
Headquarters: Milwaukee, Wisconsin
Website: www.fiduciarymgt.com

Fifth Third Bancorp

Fifth Third Bancorp is a diversified financial services company. The company engages in five main lending and banking practices, including branch banking, consumer lending, commercial banking and processing solutions. It also operates a title insurance division. It is among the largest money managers in the Midwest and, as of 2007, had US\$223 billion in assets under care, of which it managed US\$33 billion for individuals, corporations and non-profit organizations. It has approximately 21,600 employees.

Invests in: Babcock & Wilcox
Headquarters: Cincinnati, Ohio
Website: www.53.com

First Eagle Investment Management

First Eagle Investment Management manages five mutual funds: First Eagle Global Fund, First Eagle Overseas Fund, First Eagle US Value Fund, First Eagle Gold Fund and First Eagle Fund of America.

Invests in: Alliant Techsystems, Lockheed Martin, Northrop Grumman
Headquarters: New York, New York
Website: www.firsteaglefunds.com

First Trust Advisors

First Trust Advisors is a privately owned investment adviser. It has over US\$32 billion in assets under management.

Invests in: Alliant Techsystems, BAE Systems
Headquarters: Wheaton, Illinois
Website: www.ftportfolios.com

Fisher Investments

Fisher Investments is a boutique money management firm serving institutional investors and wealthy individuals with at least US\$500,000 to invest. The firm is active in the United States and United Kingdom. In Germany, Fisher does business through its joint-venture affiliate, Grüner Fisher Investments. At the helm of Fisher Investments is founder Ken Fisher, who has authored several books on investing and has written the "Portfolio Strategy" column for *Forbes* magazine for more than 25 years. The company has some US\$40 billion of assets under management.

Invests in: Boeing, Honeywell International
Headquarters: Woodside, California
Website: www.fisherinvestments.com

Franklin Templeton

Franklin Templeton Investments is an investment firm. It has over 100 different open-ended mutual funds and seven closed-end funds in the fund family. These include 36 state and federal tax-free income funds. Prominent funds in the fund family include the world's largest equity fund, Templeton Growth Fund, the Mutual Shares Fund, the Mutual Discovery Fund and the Templeton Growth Fund A. In 2011 it recorded revenue of US\$7.14 billion. It has approximately 8,453 employees.

Invests in: Babcock International, BAE Systems, GenCorp, General Dynamics, Redhall Group, Rolls-Royce
Headquarters: New York, New York
Website: www.franklintempleton.com

Gabelli Group

Gabelli Group is a privately held investment firm.

Invests in: GenCorp, Honeywell International, Rolls-Royce
Headquarters: Greenwich, Massachusetts
Website: www.gabelli.com

GenCorp Inc Retirement Savings Plan

GenCorp Inc Retirement Savings Plan has over 4,000 active participants and US\$347.9 million in assets.

Invests in: GenCorp
Headquarters: Sacramento, California

General Electric

General Electric is an American multinational conglomerate corporation. The company operates through four segments: energy, technology infrastructure, capital finance, and consumer and industrial. In 2011, *Fortune* ranked it the sixth-largest firm in the United States, as well as the 14th most profitable. In 2011 it recorded revenue of US\$150.211 billion. It has approximately 287,000 employees.

Invests in: Alliant Techsystems, Honeywell International
Headquarters: New York, New York
Website: www.ge.com

Genworth Financial

Genworth Financial is a global financial security company that specializes in life and long-term care insurance, wealth management, mortgage insurance, lifestyle protection insurance and annuities. The Genworth Financial family of companies operates through three segments: retirement and protection, US mortgage insurance, and international. It has more than 15 million customers and 6,500 employees.

Invests in: BAE Systems, Boeing, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: Richmond, Virginia
Website: www.genworth.com

Geode Capital Management

Geode Capital Management is an institutional asset manager providing global investment solutions across multiple asset classes. It has roughly US\$97.4 billion worth of assets under management.

Invests in: Boeing, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman
Headquarters: Boston, Massachusetts
Website: www.geodecapital.com

Glazer Capital

Glazer Capital is a New York-based merger arbitrage strategy hedge fund worth US\$230 million. It is run by Paul Glazer.

Invests in: Safran
Headquarters: New York, New York
Website: www.glazercapital.com

GlenRock

The GlenRock Group is a private equity and venture capital firm specializing in special situation transactions. The firm invests in undervalued, middle-market companies, and provides growth capital to early-stage enterprises.

Invests in: GenCorp
Headquarters: New York, New York
Website: www.thegrp.com

Glenview Capital Management

Glenview Capital Management is a privately owned hedge fund sponsor. The firm invests in the public equity markets of the United States. It employs multi-strategy approaches to make its investments.

Invests in: Babcock & Wilcox
Headquarters: New York, New York

Goldman Sachs

Goldman Sachs is a US-based multinational bulge bracket investment banking and securities firm. It engages in global investment banking, securities, investment management and other financial services primarily with institutional clients. It provides mergers and acquisitions advice, underwriting services, asset management and prime brokerage to its clients. The firm also engages in proprietary trading and private equity deals. In 2010 it had approximately US\$911.3 billion worth of assets under management. It has approximately 35,700 employees.

Invests in: BAE Systems, Bechtel, Boeing, EADS, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce, Serco
Headquarters: New York, New York
Website: www.goldmansachs.com

Guardian Life Insurance Company

The Guardian Life Insurance Company is a mutual life insurance company in the United States. The company and its subsidiaries sell life insurance and long-term care insurance, disability income, and group medical and dental insurance. It has more than 5,400 employees and a network of over 3,000 financial representatives in 80 agencies nationwide.

Invests in: Alliant Techsystems
Headquarters: New York, New York
Website: www.guardianlife.com

Hancock Holding

Hancock Holding Company is a financial holding company that provides banking and financial services in Mississippi, Louisiana, Alabama, and Florida. It operates 182 banking and financial services offices and has approximately 4,742 employees. Hancock Holding Company's sole subsidiary is Hancock Bank.

Invests in: Babcock & Wilcox
Headquarters: Gulfport, Mississippi
Website: www.hancockbank.com

Hartford Financial Services Group

The Hartford Financial Services Group, usually referred to as The Hartford, is one of the United States' largest investment and insurance companies. It is a leading provider of life insurance, group and employee benefits, automobile and homeowners' insurance, and business insurance, as well as investment products, annuities, mutual funds and college savings plans. In 2010 it recorded revenue of US\$22.4 billion. It has roughly 25,000 employees.

Invests in: BAE Systems, Boeing, Finmeccanica, General Dynamics, Lockheed Martin, Northrop Grumman

Headquarters: Hartford, Connecticut

Website: www.thehartford.com

Herndon Capital Management

Herndon Capital Management is an institutional investment management firm specializing in large and mid capitalization equity strategies. Serving a variety of institutional clients, it has a primary focus on large cap funds. The firm is an affiliate of Atlanta Life Financial Group (ALFG).

Invests in: Babcock & Wilcox

Headquarters: Atlanta, Georgia

Website: www.herndoncapital.com

Hotchkis and Wiley Capital Management

Hotchkis and Wiley Capital Management is a boutique asset-management firm specializing in long-only value investing. It is privately held, with the majority of employees owning an equity stake in the firm. Its clients include public plans, corporations, foundations, endowments, unions, sovereign wealth funds, sub-advisory relationships, financial intermediaries and individuals. In 2011 it had approximately US\$16.2 billion of assets under management. It has approximately 55 employees.

Invests in: Alliant Techsystems, Lockheed Martin, Northrop Grumman

Headquarters: Los Angeles, California

Website: www.hwcm.com

Imperial Capital Group

Imperial Capital is a full-service investment banking firm. It has offices in Los Angeles, New York, San Francisco, Minneapolis, Boston, Chicago and Houston. It offers sales and trading services to institutional investors and a wide range of investment banking advisory, capital markets and restructuring services to middle market corporate clients. It also provides proprietary research across an issuer's capital structure, including bank debt, debt securities, hybrid securities, preferred and common equity and special situations claims. It has more than 170 employees.

Invests in: GenCorp

Headquarters: Los Angeles, California

Website: www.imperialcapital.com

International Value Advisers

International Value Advisers provides investment management services to institutions, qualified high-net-worth individuals and financial intermediaries worldwide. It has approximately 36 employees.

Invests in: Thales

Headquarters: New York, New York

Website: www.ivafunds.com

Iridian Asset Management

Iridian Asset Management is an independently owned investment management firm. It is focused exclusively on managing active investment strategies for institutional investors.

Invests in: General Dynamics

Headquarters: Westport, Connecticut

Website: www.iridian.com

Jackson National Life Insurance

Jackson National Life Insurance is a US life insurance and annuities company. It is a subsidiary of the British insurer Prudential Plc. It markets its products in 49 states and the District of Columbia through independent and regional broker-dealers, financial institutions and independent insurance agents. In 2009 it had US\$87 billion of assets under management. It has approximately 2,900 employees.

Invests in: BAE Systems, Boeing, Lockheed Martin, Northrop Grumman

Headquarters: Lansing, Michigan

Website: www.jackson.com

Jacobs Levy Equity Management

Jacobs Levy Equity Management is an independent quantitative equity manager focused exclusively on US equity portfolios. The firm manages assets of corporate pension plans, public retirement systems, and endowments. It has approximately 60 employees.

Invests in: Alliant Techsystems
Headquarters: Florham Park, New Jersey
Website: www.jacobslevy.com

Janus Capital

Janus Capital Group is a publicly owned investment firm. It provides growth and risk-managed investment strategies and manages equity, fixed income, money market and balanced mutual funds. Outside the United States, it has offices in London, Milan, Tokyo, Hong Kong, Melbourne and Singapore. In 2011 it had approximately US\$141 billion in assets under management. It also had more than four million shareholders, clients and institutions around the globe. It has approximately 1,100 employees.

Invests in: Babcock & Wilcox, Jacobs Engineering
Headquarters: Denver, Colorado
Website: www.janus.com

Jefferies Group

Jefferies Group is a global securities and investment banking group. It operates in 30 cities around the world. It is involved in investment banking, sales and trading, research and asset management. Jefferies & Company is the primary subsidiary of Jefferies Group. In 2010 Jefferies Group recorded revenue of US\$2.85 billion. It has approximately 3,800 employees. In 2010 it had approximately US\$2.29 trillion of assets under management. It has approximately 250,000 employees.

Invests in: Lockheed Martin
Headquarters: New York, New York
Website: www.jefferies.com

JP Morgan Chase

JP Morgan Chase is a US multinational banking corporation of securities, investments and retail. It is one of the “big four” banks of the United States with Bank of America, Citigroup and Wells Fargo.

Invests in: Alliant Techsystems, Babcock & Wilcox, Babcock International, BAE Systems, Boeing, EADS, Finmeccanica, GenCorp, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman, Rolls-Royce
Headquarters: New York, New York
Website: www.jpmorganchase.com

Keeley Asset Management

Keeley Asset Management is a privately owned investment manager. The firm primarily provides its services to high-net-worth individuals. It also caters to individuals, banking or thrift institutions, investment companies, pension and profit-sharing plans, pooled investment vehicles, charitable organizations, corporations, and state or municipal government entities. It manages separate client-focused equity and balanced portfolios. It also launches and manages equity mutual funds for its clients.

Invests in: Babcock & Wilcox
Headquarters: Chicago, Illinois
Website: www.keeleyasset.com

Kennedy Capital Management

Kennedy Capital Management utilizes investment approaches to provide customized investment management services to corporate and public pension funds, endowments, foundations and multi-employer plans as well as high-net-worth individuals. It is wholly owned by its employees and directors. In 2011 it managed approximately \$3.1 billion in assets.

Invests in: GenCorp
Headquarters: St Louis, Missouri
Website: www.kennedycapital.com

KeyCorp

KeyCorp operates as a holding company for KeyBank National Association, which provides various banking services in the United States. The company's community bank segment offers regional banking services. It operates more than 1,000 retail banking branches in 14 states, a telephone banking call centre and 1,531 automated teller machines in 15 states. It has approximately 15,381 employees. The company owns the naming rights to KeyArena in Seattle.

Invests in: Alliant Techsystems, Boeing
Headquarters: Cleveland, Ohio
Website: www.key.com

Knights of Columbus

The Knights of Columbus is the world's largest Roman Catholic fraternal service organization. There are more than 1.8 million members in 15,000 councils, with nearly 200 councils on college campuses. Membership is limited to "practical" Catholic men aged 18 or older. Councils have been chartered in the United States, Canada, Mexico, the Caribbean, Guatemala, Panama, the Dominican Republic, the Philippines, Guam, Spain, Japan, Cuba and most recently Poland. In 2010 the Order gave over US\$154 million directly to charity and performed over 70 million hours of voluntary service. Its insurance programme has more than US\$80 billion of life insurance policies in force, backed up by US\$15.5 billion in assets. The Knights was formed to render financial aid to members and their families. Social and intellectual fellowship is promoted among members through educational, charitable, religious, social welfare, war relief and public relief works.

Invests in: Honeywell International
Headquarters: New Haven, Connecticut
Website: www.kofc.org

Lawson Kroeker Investment Management

Lawson Kroeker Investment Management is a financial investment advisory firm. It manages around 244 accounts. It has approximately US\$406.15 million of assets under management.

Invests in: GenCorp
Headquarters: Omaha, Nebraska
Website: www.lawsonkroeker.com

Lazard

Lazard is a global, independent investment bank. It provides services to corporations, governments and individuals in mergers and acquisitions advice, restructuring, capital raising, and asset management. It operates in 42 cities across 27 countries throughout Europe, North America, Asia, Australia and Latin America. In 2010 it recorded revenue of US\$1.91 billion. It has approximately 2,300 employees.

Invests in: GenCorp, General Dynamics, Honeywell
Headquarters: New York, New York
Website: www.lazard.com

Legg Mason

Legg Mason is a global investment management firm with a focus on asset management. It offers products in equities and fixed income, as well as domestic and international liquidity management and alternative investments. It has clients spread across 190 countries. In 2011 it had assets under management of US\$629 billion. It has approximately 3,200 employees.

Invests in: Babcock & Wilcox, GenCorp, Honeywell International, Jacobs Engineering, Northrop Grumman
Headquarters: Baltimore, Maryland
Website: www.leggmason.com

Lehman Brothers*

Lehman Brothers was the fourth-largest investment bank in the United States. It was involved in investment banking, equity and fixed-income sales and trading, research, investment management, private equity and private banking. In 2008 the firm filed for bankruptcy protection after mass exodus of most of its clients, drastic losses in its stock and devaluation of its assets by credit-rating agencies. Following this, Barclays purchased Lehman's North American investment banking and trading divisions along with its New York headquarters building. Nomura Holdings acquired Lehman's franchise in the Asia-Pacific region, including Japan, Hong Kong and Australia, as well as its investment banking and equities businesses in Europe and the Middle East.

* Now bankrupt
Invests in: Finmeccanica
Headquarters: New York, New York
Website: www.lehman.com

Liberty Mutual Holding Company

Liberty Mutual Holding Company provides insurance products and services in the United States and internationally. The company offers property and casualty insurance coverage primarily for automobiles, homes, valuable possessions and personal liability. It also provides life and annuity products. It has approximately 45,000 employees.

Invests in: BAE Systems, Lockheed Martin
Headquarters: Boston, Massachusetts
Website: www.libertymutual.com

Lincoln National Corporation

Lincoln National Corporation is a US holding company that operates multiple insurance and investment management businesses through subsidiary companies. Lincoln Financial Group is the marketing name for Lincoln National Corporation and its subsidiary companies. In 2009 it had US\$141 billion of assets under management. It owns the naming rights for Lincoln Financial Field in Philadelphia, home field of the Philadelphia Eagles of the National Football League.

Invests in: BAE Systems, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: Radnor, Indiana
Website: www.lincolnfinancial.com

Loews Corporation

Loews Corporation is a holding company run by the Tisch family. Its subsidiaries are engaged in property and casualty insurance, the production and sale of cigarettes, the operation of hotels, the operation of offshore oil and gas drilling rigs, the operation of an interstate natural gas transmission pipeline system, and the exploration, production and marketing of natural gas. In 2010 it recorded revenue of \$14.12 billion and earned a profit of \$564 million. It has approximately 18,400 employees. Its subsidiaries include CNA Financial Corporation, Boardwalk Pipeline Partners and Loews Hotels.

Invests in: BAE Systems, Northrop Grumman
Headquarters: New York, New York
Website: www.loews.com

Longview Asset Management

Longview Asset Management is an employee-owned investment manager. The firm manages equity portfolios for its clients. It invests in public equity markets of the United States.

Invests in: General Dynamics, Serco Group
Headquarters: Chicago, Illinois

Loop Capital Markets

Loop Capital Markets is a boutique investment banking and brokerage firm. The firm offers corporate and public finance, financial advisory, municipal finance, equity research, and securities sales and trading services.

Invests in: Boeing
Headquarters: Chicago, Illinois
Website: www.loopcap.com

Lord, Abbett & Co.

Lord, Abbett & Co. is an independent, privately held US investment management firm that offers a broad array of equity and fixed-income strategies to individual and institutional investors. It is involved in mutual funds, institutional portfolio management and separately managed accounts. It has 775 employees.

Invests in: Alliant Techsystems, Jacobs Engineering
Headquarters: Jersey City, New Jersey
Website: www.lordabbett.com

LA Capital Management & Equity Research

Los Angeles Capital Management and Equity Research is a financial investment advisory firm. It manages some 51 accounts and has an estimated US\$6.66 billion of assets under management.

Invests in: Alliant Techsystems
Headquarters: Los Angeles, California
Website: www.lacapm.com

LSV Asset Management

LSV Asset Management specializes in value equity management for institutional investors around the world. In 2011 it had approximately US\$53.2 billion of assets under management and about 400 clients.

Invests in: Lockheed Martin, Northrop Grumman
Headquarters: Chicago, Illinois
Website: www.lsvasset.com

M.R. Beal & Co.

M.R. Beal & Co. is involved in investment banking, financial advisory and securities brokerage services. Its clients include municipalities, state governments and corporations. The company offers sales, trading and underwriting of municipal bonds, public and private placement of equity and debt, equity research and institutional equity execution services. The company has offices in Chicago, New Orleans, Dallas, Hoboken, Sacramento and Washington.

Invests in: Boeing

Headquarters: New York, New York

Website: www.mrbeal.com

Madison Investment Advisors

Madison Investment Advisors is an employee-owned investment manager. The firm primarily provides its services to wrap accounts. It also serves pension and profit-sharing plans, individuals, banking or thrift institutions, investment companies, charitable organizations, corporations, and state or municipal government entities. The firm manages separate client-focused equity, fixed-income, and balanced portfolios. There are approximately 100 employees in the greater Madison organization.

Invests in: Jacobs Engineering

Headquarters: Madison, Wisconsin

Website: www.madisonadv.com

Managed Account Advisors

Managed Account Advisors is a financial investment advisory firm. It manages approximately 225,000 accounts and has an estimated US\$95.42 billion of assets under management.

Invests in: Alliant Techsystems, Babcock & Wilcox, Lockheed Martin, Northrop Grumman

Headquarters: Jersey City, New Jersey

Manning & Napier Advisors

Manning & Napier Advisors is an employee-owned investment manager. It has offices in Fairport; Dublin, Ohio; and St Petersburg, Florida. The firm primarily provides its services to individuals, typically high-net-worth individuals. It also manages accounts for banking or thrift institutions, investment companies, pension and profit-sharing plans, charitable organizations, endowments, corporations, state or municipal government entities, foundations, and Taft-Hartley and corporate benefit plans.

Invests in: Boeing

Headquarters: Fairport, New York

Website: www.manning-napier.com

Marsico Capital Management

Marsico Capital Management is an employee-owned investment manager. The firm primarily provides its services to individuals. It launches and manages equity mutual funds for its clients. It also manages separate client-focused equity portfolios. The firm invests in the public equity markets across the globe.

Invests in: General Dynamics

Headquarters: Denver, Colorado

Website: www.marsicocapital.com

Mason Capital Management

Mason Capital Management is a privately owned hedge fund sponsor. It primarily provides services to pension and profit-sharing plans, pooled investment vehicles and living trusts. It invests in the public equity and hedging markets of the United States.

Invests in: Babcock & Wilcox

Headquarters: New York, New York

Website: www.masoncap.com

MassMutual

Massachusetts Mutual Life Insurance Company (MassMutual) provides life insurance, disability income insurance, long-term care insurance, retirement plan services and annuities. It has 1,800 offices and 13 million clients worldwide. In 2010 the company recorded revenue of about US\$25 billion.

Invests in: Alliant Techsystems, BAE Systems, Lockheed Martin, Northrop Grumman, Redhall group

Headquarters: Springfield, Illinois

Website: www.massmutual.com

Matthews International Capital

Matthews International Capital is an independent, privately owned financial management firm. It manages assets for individuals and institutions. In 2011 it had approximately US\$15.3 billion in assets under management.

Invests in: Larsen & Toubro
Headquarters: San Francisco, California
Website: www.micm-llc.com

Medical Liability Mutual Insurance Co.

Medical Liability Mutual Insurance Company is a medical malpractice insurance company. It offers professional liability insurance to physicians, dentists, hospitals and other healthcare professionals. The company also provides risk management and claims management services. It has offices in Long Island, Latham and Syracuse, New York.

Invests in: Northrop Grumman
Headquarters: New York, New York
Website: www.mlmic.com

MetLife

MetLife is the holding corporation for the Metropolitan Life Insurance Company and its affiliates. It is among the largest global providers of insurance, annuities and employee benefit programs, with 90 million customers in over 60 countries. It operates in the United States, Japan, Latin America, the Asia-Pacific region, Europe and the Middle East. In 2010 it recorded revenue of US\$52.72 billion. It has approximately 66,000 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, Finmeccanica, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: New York, New York
Website: www.MetLife.com

MFP Investors

MFP Investors is an employee-owned hedge fund sponsor. The firm invests in the public equity markets of the United States.

Invests in: GenCorp
Headquarters: Short Hills, New Jersey

Michigan Department of Treasury

The State Treasurer of Michigan functions as the chief financial officer for the US state of Michigan. The State Treasurer oversees the collection, investment and disbursement of all state moneys, and also administers major tax laws, safeguards the credit of the state, and distributes revenue sharing moneys to local units of government. It is an unelected office within the executive branch of government.

Invests in: Jacobs Engineering, Lockheed Martin
Headquarters: Lansing, Michigan
Website: www.michigan.gov/treasury

Moody Aldrich Partners

Moody Aldrich Partners is an employee-owned investment manager. The firm primarily provides services to institutional investors and state or municipal government entities. It has offices in Marblehead and Boston.

Invests in: Babcock & Wilcox
Headquarters: Marblehead, Massachusetts
Website: www.moodyaldrich.com

Morgan Stanley

Morgan Stanley is a global financial services firm. Its main areas of business are global wealth management, institutional securities, and investment management. Its clients include corporations, governments, financial institutions and individuals. It operates in 42 countries and has more than 1,300 offices. In 2010 it recorded revenue of US\$31.6 billion. It has approximately 60,000 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, EADS, Finmeccanica, GenCorp, Honeywell International, Lockheed Martin, Northrop Grumman, Safran
Headquarters: New York, New York
Website: www.morganstanley.com

Nationwide

Nationwide Mutual Insurance Company & Affiliated Companies is a group of large US insurance and financial services companies based in Columbus. The company also operates regional headquarters in Des Moines, Iowa; San Antonio, Texas; Gainesville, Florida; and Lynchburg, Virginia.

Invests in: Honeywell International
Headquarters: Columbus, Ohio
Website: www.nationwide.com

Neuberger Berman

Neuberger Berman is an investment management firm that provides financial services for high-net-worth individuals and institutional investors. It is among the largest private employee-controlled asset management firms in the world. The company's three primary businesses include wealth management, mutual funds and institutional asset management. It has approximately 1,700 employees.

Invests in: Alliant Techsystems, Boeing
Headquarters: New York, New York
Website: www.nb.com

New Jersey Division of Investment

New Jersey Division of Investment is the investment arm of New Jersey Department of the Treasury. It primarily manages the pension assets of state employees. It invests in the public equity and fixed income markets across the globe. It has approximately US\$79.4 billion in assets under management.

Invests in: Jacobs Engineering
Headquarters: Trenton, New Jersey
Website: www.nj.gov/treasury/doinvest

New York Life

The New York Life Insurance Company is one of the largest mutual life insurance companies in the United States, and one of the largest life insurers in the world. It has an estimated US\$287 billion in total assets under management and 12,600 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, GenCorp, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: New York, New York
Website: www.newyorklife.com

Northeast Investors

Northeast Investors Trust is a no-load mutual fund that focuses on marketable securities of established companies. This includes bonds, preferred stocks, dividend-paying common stocks, securities convertible into common stocks, and securities with warrants attached. The fund mainly invests in higher-yielding and unrated or lower-rated debt securities to produce income for the shareholders.

Invests in: Alliant Techsystems
Headquarters: Boston, Massachusetts

Northern Cross

Northern Cross is an independent investment management firm focused exclusively on international equity investing.

Invests in: Rolls-Royce
Headquarters: Boston, Massachusetts
Website: www.northerncrossllc.com

Northern Trust

Northern Trust Corporation is an international financial services company involved in investment management, asset and fund administration, fiduciary and banking services. It has 85 offices in 18 US states and 12 international offices in North America, Europe and the Asia-Pacific region. In 2011 it had US\$97 billion in banking assets, US\$4.2 trillion in assets under custody and US\$644.2 billion in assets under management.

Invests in: Alliant Techsystems, Babcock & Wilcox, BAE Systems, Bechtel, Boeing, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman
Headquarters: Chicago, Illinois
Website: www.northerntrust.com

Northwestern Mutual

Northwestern Mutual is a mutual company involved in financial services, including life insurance, long-term care insurance, disability insurance, annuities, mutual funds and employee benefit services. It also provides consultation on asset and income protection, personal needs, investments, financial planning, estate planning, trusts, business needs, retirement and employee benefits. In 2008 it recorded revenue of US\$23 billion. It has approximately 5,000 employees.

Invests in: BAE Systems, Boeing, Finmeccanica, General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: Milwaukee, Wisconsin
Website: www.northwesternmutual.com

Nuveen Investments

Nuveen Investments is a Chicago-based private company in the asset management industry. It started in the municipal bond underwriting business and remains active in the municipal bond market. It sells separately managed accounts, retail mutual funds and close-end funds. In 2008 it had approximately US\$152 billion in assets under management.

Invests in: Alliant Techsystems, Finmeccanica, GenCorp, Lockheed Martin, Safran, Thales
Headquarters: Chicago, Illinois
Website: www.nuveen.com

Oaktree

Oaktree Capital Group specializes in credit and contrarian value-oriented investments such as distressed debt, corporate debt, convertible securities, real estate, private equity, power infrastructure and listed equities in emerging markets. It has more than US\$80 billion of assets under management from investors such as corporations, public funds, foundations, endowments, mutual funds, insurance firms and private clients. The firm has more than a dozen offices in the United States, Asia and Europe. It has approximately 607 employees.

Invests in: Alliant Techsystems, GenCorp
Headquarters: Los Angeles, California
Website: www.oaktreecapital.com

OppenheimerFunds

OppenheimerFunds is a mutual fund manager majority-owned by Massachusetts Mutual Life Insurance, better known as MassMutual. The firm manages more than 65 funds invested in domestic and international equity, municipal bonds, money markets, and alternative investments such as commodities, real estate, and hedge funds. It has more than US\$150 billion in assets under management in some six million shareholder accounts. It has approximately 60 employees.

Invests in: Alliant Techsystems, BAE Systems, Lockheed Martin, Northrop Grumman
Headquarters: New York, New York
Website: www.oppenheimerfunds.com

Osterweis Capital Management

Osterweis Capital Management provides investment management services through various products, including separately managed accounts and three mutual funds. It manages portfolios of high-net-worth individuals, foundations and endowments. It has roughly US\$5 billion in assets under management.

Invests in: General Dynamics, Safran
Headquarters: San Francisco, California
Website: www.osterweis.com

Pacific Heights Asset Management

Pacific Heights Asset Management is a financial investment advisory firm with roughly US\$10 billion of assets under management.

Invests in: General Dynamics
Headquarters: San Francisco, California

Pacific Mutual Holding Company

Pacific Mutual Holding Company's lines of business include a variety of life insurance products for individuals and businesses; annuities and mutual funds geared to individuals and small businesses; management of stable value funds, fixed income investments, and other investments for institutional clients and pension plans; and real estate investing. Its Aviation Capital Group subsidiary provides commercial jet aircraft leasing. It has approximately 4,000 employees.

Invests in: BAE Systems, Northrop Grumman
Headquarters: Newport Beach, California
Website: www.pacificmutual.com

Palisade Capital Management

Palisade Capital Management is an investment advisory company. In 2011 it had approximately US\$3.3 billion of assets under management.

Invests in: Glencorp
Headquarters: Fort Lee, Virginia
Website: www.palisadecapital.com

Penn Mutual Life Insurance Company

Penn Mutual Life Insurance provides life insurance, annuities, and investment products and services. The company sells its products through several channels, using both independent and captive agents, as well as brokers. Its subsidiaries include Horner, Townsend & Kent and Janney Montgomery Scott.

Invests in: Honeywell International
Headquarters: Horsham, Pennsylvania
Website: www.pennmutual.com

Pennant Capital Management

Pennant Capital Management is a hedge fund management firm founded by Alan P. Fournier. The firm manages the Pennant series of hedge funds. It has offices in Chatham and New York.

Invests in: Babcock & Wilcox
Headquarters: Chatham, Massachusetts

People's United Financial

People's United Financial is the holding company for People's United Bank. The bank has more than 330 locations, including traditional branches, supermarket branches, commercial banking offices, investment and brokerage offices, and equipment leasing offices. In addition to retail and commercial banking services, the bank offers trust, wealth management, brokerage, and insurance services. It has some 5,200 employees.

Invests in: Alliant Techsystems
Headquarters: Bridgeport, Connecticut
Website: www.peoples.com

PNC Bancorp

PNC Bancorp operates as a bank holding company for nationally and state-chartered commercial banks and a state-chartered non-depository trust company. It offers retail banking and wholesale banking services. The company operates as a subsidiary of PNC Financial Services Group.

Invests in: Babcock & Wilcox, Alliant Techsystems, Boeing, General Dynamics
Headquarters: Wilmington, Delaware
Website: www.pnc.com

Primecap Management Company

Primecap Management Company is an independent investment management company.

Invests in: Babcock International, Boeing, EADS, Honeywell International
Headquarters: Pasadena, California
Website: www.primecap.com

Principal Financial Group

Principal Financial Group is a global financial services provider with more than 18 million customers worldwide. In 2009 it had an estimated US\$280 billion of assets under management. It has been ranked as one of the world's most ethical companies. It has approximately 13,600 employees.

Invests in: Alliant Techsystems, BAE Systems, Boeing, GenCorp, Honeywell International, Lockheed Martin
Headquarters: Des Moines, Iowa
Website: www.principal.com

Progressive Corporation

The Progressive Corporation, known as the Progressive Casualty Insurance Company through its subsidiaries, provides personal automobile insurance and other specialty property-casualty insurance and related services in the United States. In 2010 it recorded revenue of US\$15 billion. It has approximately 27,250 employees.

Invests in: Northrop Grumman
Headquarters: Mayfield Village, Ohio
Website: www.progressive.com

Prospector Partners

Prospector Partners is an employee-owned hedge fund sponsor. It also provides advisory services to its clients and manages accounts for pension and profit-sharing plans and individuals, including high-net-worth individuals. The firm invests in the public equity markets of the United States.

Invests in: Alliant Techsystems
Headquarters: Guilford, Connecticut

Protective Life Corporation

Protective Life Corporation is a holding company whose subsidiaries provide financial services through the production, distribution, and administration of insurance and investment products. As of 2009, Protective Life had insurance in force of approximately US\$445 billion. It has roughly 2,300 employees. Protective Life is also the parent company of United Investors Life Insurance Company and a brokerage subsidiary, West Coast Life.

Invests in: Northrop Grumman
Headquarters: Birmingham, Alabama
Website: www.protective.com

Prudential Financial

The Prudential Insurance Company of America provides insurance, investment management, and other financial products and services to both retail and institutional customers. It operates throughout the United States and in over 30 other countries worldwide. Principal products and services provided include life insurance, annuities, mutual funds, pension and retirement-related investments, administration and asset management, securities brokerage services, and commercial and residential real estate. Prudential is composed of hundreds of subsidiaries and holds more than US\$2 trillion of life insurance. It has approximately 39,400 employees.

Invests in: Alliant Techsystems, Babcock International, BAE systems, GenCorp, Redhall Group, Rolls-Royce
Headquarters: Newark, New Jersey
Website: www.prudential.com

Pzena Investment Management

Pzena Investment Management is a US investment management firm that serves corporate, institutional, and high-net-worth individual clients in the US and abroad. It has approximately US\$16 billion in assets under management. Through more than ten funds, it makes long-term investments in domestic and international companies. It also acts as a sub-investment adviser for about two dozen mutual funds and offshore funds. It has roughly 70 employees.

Invests in: BAE Systems, Northrop Grumman
Headquarters: New York, New York
Website: www.pzena.com

QS Investors

QS Investors is an independent investment firm. It provides asset management and advisory services to various institutional clients. It has more than 40 employees and is one of the largest majority woman-owned asset management firms.

Invests in: Northrop Grumman
Headquarters: New York, New York
Website: www.qsinvestors.com

Radcliffe Capital Management

Radcliffe Capital Management is a financial investment advisory firm that manages 13 accounts totalling an estimated US\$831 million.

Invests in: GenCorp, Safran
Headquarters: Bala Cynwyd, Pennsylvania
Website: www.radcliffefunds.com

Regions Financial Corporation

Regions Financial Corporation is a publicly held holding company for Regions Bank. It provides retail and commercial banking, trust, securities brokerage, mortgage and insurance products and services. In 2010 it had approximately US\$137 billion in assets under management. Its banking subsidiary, Regions Bank, operates some 2,000 branches across 16 states in the South, Midwest and Texas. Its securities brokerage and asset management subsidiary, Morgan Keegan & Company, has 450 offices across 16 states. It has roughly 27,800 employees.

Invests in: Babcock & Wilcox
Headquarters: Birmingham, Alabama
Website: www.regions.com

Relational Investors

Relational Investors is an activist investment fund. The fund has approximately US\$6 billion in assets under management.

Invests in: Babcock & Wilcox
Headquarters: San Diego, California
Website: www.rillc.com

Renaissance Technologies

Renaissance Technologies is a quantitative hedge fund firm that has more than US\$15 billion in assets under management. It has approximately 280 employees.

Invests in: Alliant Techsystems
Headquarters: New York, New York
Website: www.rentec.com

Ruane, Cunniff & Goldfarb

Ruane, Cunniff & Goldfarb is an investment firm. It manages its trademark Sequoia Fund. In total, it has over US\$14 billion in assets.

Invests in: Rolls-Royce
Headquarters: New York, New York
Website: www.sequoiafund.com

Rydex

Rydex Investments and Security Global Investors is an asset management that manages more than US\$22 billion in assets. It has over 140 mutual funds and exchange-traded products.

Invests in: Babcock & Wilcox
Headquarters: New York, New York
Website: www.rydex-sgi.com

Samuel A. Ramirez & Co.

Samuel A. Ramirez and Co. is involved in security brokerage services to individuals, business owners, institutional investors and government agencies. It offers services in wealth management, underwriting, banking, institutional brokerage, research and asset management. It also provides retail and institutional trading services in tax-free municipal bonds, equities, government bonds, corporate bonds and alternative investments. It has offices in Oakland, Los Angeles, Chicago, Hato Rey, Houston, San Antoni and Miami.

Invests in: Boeing
Headquarters: New York, New York
Website: www.ramirezco.com

Shapiro Capital Management

Shapiro Capital Management is an investment management company that specializes in small-cap, mid-cap, and multi-cap value equity strategies. The firm manages approximately 638 accounts totalling an estimated US\$2.7 billion of assets under management.

Invests in: Babcock & Wilcox
Headquarters: Atlanta, Georgia
Website: www.shapirocapital.com

Siebert Financial Corporation

Siebert Financial Corporation, through its subsidiary Muriel Siebert & Co., engages in retail discount brokerage and investment banking operations in the United States. The company provides Internet and traditional discount brokerage and related services to retail investors, independent retail execution services and retail customer services. It maintains seven retail discount brokerage offices in New York, Jersey City, Boca Raton, Surfside, West Palm Beach, Naples and Beverly Hills. It has approximately 70 employees.

Invests in: Boeing
Headquarters: New York, New York
Website: www.siebertnet.com

Silchester International Investors

Silchester International Investors is a financial investment advisory firm. It specializes in international equity management. It manages 12 accounts totalling an estimated US\$26 billion.

Invests in: BAE International
Headquarters: New York, New York
Website: www.silchester.com

State Farm

State Farm Insurance is a group of insurance and financial services companies in the United States. It also has operations in Canada. The group's main business is State Farm Mutual Automobile Insurance Company. In 2007 it recorded revenue of US\$61.61 billion. It has approximately 68,000 employees.

Invests in: General Dynamics, Honeywell International, Lockheed Martin, Northrop Grumman
Headquarters: Bloomington, Indiana
Website: www.statefarm.com

State Street

State Street is a financial services holding company. It has more than US\$21 trillion of assets under custody and administration, in addition to some US\$2 trillion under management. It reported revenues of US\$8.94 billion in 2010. State Street also has an Asian presence selling a complete range of financial services. It has approximately 28,670 employees in eight cities throughout the Asia-Pacific region and headquarters located in Hong Kong.

Invests in: Alliant TechSystems, Boeing, Babcock & Wilcox, Babcock International, GenCorp, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman, Rolls-Royce, Serco Group
Headquarters: Boston, Massachusetts
Website: www.statestreet.com

State Teachers Retirement System of Ohio

The State Teachers Retirement System of Ohio manages retirement, disability and survivor benefits, as well as healthcare coverage for current and former public educators and employees of public schools, colleges, universities and other educational institutions in Ohio. The system pays more than US\$4 billion per year in benefits. It has US\$70 billion in invested assets.

Invests in: Jacobs Engineering
Headquarters: Columbus, Ohio
Website: www.strsoh.org

Steel Partners

Steel Partners Holdings is a global diversified holding company that owns and operates businesses in manufacturing, industrial products, defence, banking, insurance, restaurants and services companies. Among its holdings is Utah-based WebBanks. It has approximately US\$4 billion in assets under management. Steel Partners also owns portions of Unisys, GenCorp, Selectica, SL Industries, and Nathan's Famous. Activist investor Warren Lichtenstein heads the firm.

Invests in: GenCorp
Headquarters: New York, New York
Website: www.steelpartners.com

SunTrust

SunTrust Bank is a subsidiary of Sunbelt. It operates nearly 1,700 branches in the south-eastern states of the United States. It has over 29,000 employees. In 2009 it reported revenues in excess of US\$10 billion. The company participated in the underwriting of the initial public offering of Coca-Cola and was one of its largest shareholders for many years. It is also the official bank of Grand American Road Racing.

Invests in: Alliant Techsystems, Genera Dynamics, Northrop Grumman
Headquarters: Atlanta, Georgia
Website: www.suntrust.com

Symetra Financial

Symetra Financial is a holding company whose subsidiaries offer life insurance, annuities, retirement plans, health insurance and employee benefit plans to some three million customers throughout the United States. The company has nearly US\$20 billion in assets and employs approximately 1,000 people. It is majority owned by an investor group led by White Mountains Insurance Group and Berkshire Hathaway.

Invests in: BAE Systems, Boeing, Honeywell International, Lockheed Martin, Rolls-Royce, Northrop Grumman
Headquarters: Bellevue, Washington
Website: www.symetra.com

T. Rowe Price Group

T. Rowe Price Group administers about 100 mutual funds. Other services include asset management advisory services, corporate retirement plan management, separately managed accounts, variable annuity life insurance plans, discount brokerage, and transfer agency and shareholder services. It employs over 5,000 people and has more than US\$480 billion of assets under management.

Invests in: Babcock & Wilcox, Boeing, Honeywell International, Lockheed Martin, Rolls-Royce, Serco Group
Headquarters: Baltimore, Maryland
Website: www.corporate.troweprice.com

Teton Advisors

Teton Advisors is the registered investment adviser to six open-end mutual funds under the GAMCO Westwood brand. It has roughly 440 employees and a market value of US\$487 million.

Invests in: GenCorp
Headquarters: Rye, New York
Website: www.tetonadv.com

Thrivent Financial for Lutherans

Thrivent Financial is a financial services organization that offers financial products and services to nearly three million members. It sponsors national outreach programmes, notably its alliance with Habitat for Humanity. It seeks to increase Habitat's capacity to build more homes and promote donations, volunteerism, neighborhood development as well as worldwide homebuilding trips by Thrivent Financial for Lutherans' members.

Invests in: Larsen & Toubro, Northrop Grumman

Headquarters: Minneapolis, Minnesota; Appleton, Wisconsin

Website: www.thrivent.com

TIAA-CREF

Teachers Insurance and Annuity Association – College Retirement Equities Fund (TIAA–CREF) is a financial services organization that is the leading retirement provider for people who work in the academic, research, medical and cultural fields. It has US\$453 billion in combined assets under management, reported revenue of US\$32.22 billion in 2010 and employs 7,200 people. It has major offices in Denver, Charlotte and Dallas as well as 70 local offices throughout the United States.

Invests in: Alliant Tech Systems, Babcock & Wilcox, BAE Systems, Boeing, General Dynamics, Finmeccanica, GenCorp, Honeywell International, Jacobs Engineering, Lockheed Martin, Northrop Grumman

Headquarters: New York, New York

Website: www.tiaa-cref.org

Toussaint Capital Partners

Toussaint Capital Partners is an investment-banking boutique firm with a concentration in debt and equity origination and secondary debt and equity distribution. It currently clears trades via Pershing.

Invests in: Boeing

Headquarters: New York, New York

Website: www.toussaintcapital.com

Travelers Companies

Travelers Companies is the largest US insurance company by market value. It is also the second-largest writer of US commercial property casualty and the third-largest writer of US personal insurance through independent agents. The company has field offices in every US state, as well as operations in the United Kingdom, Ireland, Singapore, China, Canada and Brazil. In 2010 the company had approximately 32,000 employees and reported revenues of US\$25.1 billion with total assets of US\$105.2 billion.

Invests in: Alliant Techsystems

Headquarters: Hartford, Connecticut

Website: www.travelers.com

United Services Automobile Association

United Services Automobile Association is a Texas Department of Insurance regulated unincorporated reciprocal inter-insurance exchange and financial services company offering banking, investing and insurance to people and families that serve or served in the US military. As of 2011 it had roughly 24,000 employees and reported revenue of US\$17.95 billion.

Invests in: Northrop Grumman

Headquarters: San Antonio, Texas

Website: www.usaa.com

Unum Group

Unum is currently the largest disability insurance company worldwide. Unum provides employee benefits including disability insurance, critical illness insurance, long-term care insurance and life insurance. Its revenue in 2010 was US\$10.19 billion. It has approximately 10,000 employees.

Invests in: Alliant Tech Systems, BAE Systems, Boeing, Lockheed Martin, Northrop Grumman

Headquarters: Chattanooga, Tennessee

Website: www.unum.com

US Bancorp

US Bancorp is a diversified financial services holding company that employs over 63,000 people. It is the parent company of US Bank, the fifth-largest commercial bank in the United States with US\$330 billion in assets. US Bancorp reported US\$18.1 billion in revenue in 2010.

Invests in: Alliant Techsystems, Babcock & Wilcox, Bechtel, Boeing, General Dynamics, Honeywell International, Lockheed Martin

Headquarters: Minneapolis, Minnesota

Website: www.usbank.com

Utendahl Group

The Utendahl Group is the parent company of Utendahl Capital Partners and Utendahl Capital Management. Utendahl Capital Partners is an investment bank and broker–dealer that specializes in fixed-income, equity and convertible underwritings, as well as mergers and acquisitions. Utendahl Capital Partners also has a private equity investment arm.

Invests in: Boeing

Headquarters: New York, New York

Van Eck Associates Corporation

Van Eck Associates Corporation and Van Eck Securities Corporation are together referred to as Van Eck Global. Van Eck Associates employs over 100 people and has approximately US\$34.5 billion in assets under management.

Invests in: Jacobs Engineering

Headquarters: New York, New York

Website: www.vaneck.com

Vanguard Group

The Vanguard Group is a US investment management company. It offers mutual funds and other financial products and services to individual and institutional investors in the United States and abroad. It has approximately US\$1.6 trillion in assets and more than 12,000 employees.

Invests in: Alliant Tech Systems, Babcock & Wilcox, BAE Systems, Boeing, GenCorp, General Dynamics, Honeywell International, Jacobs Engineering, Larsen & Toubro, Lockheed Martin, Northrop Grumman

Headquarters: Malvern, Pennsylvania

Website: www.vanguard.com

Waddell & Reed Financial

Waddell and Reed Financial is a US asset management and financial planning company founded in 1937.

It operates asset management and distribution subsidiaries, including Ivy Investment Management Company and Waddell & Reed Investment Management Company.

Invests in: Boeing

Headquarters: Overland Park, Kansas

Website: www.waddell.com

Weiss Asset Management

Weiss Asset Management is a privately owned hedge fund founded by the economist Andrew Weiss. He is widely known for his academic contributions in domains of corporate finance, monetary theory and macroeconomics. The firm invests in the public equity and hedging markets across the globe.

Invests in: GenCorp

Headquarters: Boston, Massachusetts

Website: www.weissasset.com

Wellington Management

Wellington Management Company is one of the largest private, independent investment management companies in the world. The firm has client assets under management totalling over US\$634 billion and serves as investment adviser for over 1,900 institutional clients in 50 countries. It has offices in Boston, Atlanta, Marlborough, San Francisco, Chicago and Radnor. It also operates in Beijing, Hong Kong, London, Singapore, Sydney and Tokyo.

Invests in: Boeing

Headquarters: Boston, Massachusetts

Website: www.wellington.com

Wells Fargo

Wells Fargo is a US multinational diversified financial services company with operations around the world. It is the fourth-largest bank in the United States by assets and the largest bank by market capitalization. In 2011 Wells Fargo was the 23rd-largest company in the United States. In 2010 it recorded a profit of US\$12.36 billion and employed 270,000 people.

Invests in: Alliant Techsystems

Headquarters: San Francisco, California

Website: www.wellsfargo.com

Western & Southern Financial Group

Western & Southern Financial Group, also commonly referred to as Western & Southern, is a diversified family of financial services companies with assets under its management of US\$52 billion. It is one of the eight highest-rated life insurance groups in the world based on Standard & Poor's rating.

Invests in: Alliant Techsystems
Headquarters: Cincinnati, Ohio
Website: www.westernsouthern.com

William Blair & Company

William Blair & Company is a privately held employee-owned financial services company. The firm has approximately 920 employees and is based in Chicago with offices in London, San Francisco, Tokyo, Liechtenstein and Zurich.

Invests in: Babcock International and Jacobs Engineering
Headquarters: Chicago, Illinois
Website: www.williamblair.com

Williams Capital Group

Williams Capital Group is an investment bank that provides institutional investors and corporate, governmental and municipal clients with products and services in equities, fixed income, corporate finance, investment management and private equity. It has offices in Chicago, Shreveport and Dallas.

Invests in: Boeing, General Dynamics
Headquarters: New York, New York
Website: www.willcap.com

Wolverine

Wolverine is a financial institution specializing in proprietary trading, asset management, order execution services and technology solutions. The firm places great emphasis on technology and infrastructure, and invests heavily in these areas to trade derivative securities across a number of global exchanges. Wolverine is headquartered in Chicago, with branch offices in New York, San Francisco and London. It has more than 300 employees.

Invests in: GenCorp
Headquarters: Chicago, Illinois
Website: www.wolve.com

Woodmen of the World Life Insurance

Woodmen of the World Life Insurance Society is a not-for-profit fraternal organization with approximately 5,000 employees. It provides financial solutions to around 800,000 members in the United States. Woodmen of the World is involved in a number of social and community initiatives such as disaster relief work and youth camps.

Invests in: General Dynamics, Honeywell International
Headquarters: Omaha, Nebraska
Website: www.woodmen.org

Zazove Associates

Zazove Associates is an investment management company. It provides services to insurance companies, pension plans, public funds, endowments, investment partnerships, trusts, state or municipal government entities, and high-net-worth individuals. The firm employs 30 people. In 2010 it had approximately US\$5.9 billion in assets under management.

Invests in: Alliant Techsystems
Headquarters: Incline Village, Nevada
Website: www.zazove.com



Venezuela

Casa de Bolsa del Caribe

Casa de Bolsa del Caribe is a financial institution in Venezuela.

Invests in: Finmeccanica
Headquarters: Caracas