

Summary of Findings: The Financing of Nuclear Weapons Companies

This table lists by country the 322 financial institutions identified in this report as being substantively involved in financing nuclear weapons producers. Full details of their participation in recent share and bond issues, the value of their share- and bondholdings and the bank loans they have provided can be found in Chapter 5, which is ordered by nuclear weapons company. For general information about the financial institutions listed, see Chapter 8.

KEY: TYPES OF FINANCING

- Si** Financial institution participated in at least one **share issue** of the nuclear weapons producer from July 2008 to December 2011.
- S** Financial institution owns or manages at least 0.5% of the nuclear weapons producer's **shares** at the most recent filing date.
- Bi** Financial institution participated in at least one **bond issue** of the nuclear weapons producer from July 2008 to December 2011.
- B** Financial institution owns or manages at least 0.5% of the nuclear weapons producer's **bonds** at the most recent filing date.
- L** Financial institution participated in at least one **bank loan** of the nuclear weapons producer from July 2008 to December 2011.

	ALLIANT TECHSYSTEMS	BABCOCK & WILCOX	BABCOCK INTERNATIONAL	BAE SYSTEMS	BECHTEL	BOEING	EADS	FINMECCANICA	GENCORP	GENERAL DYNAMICS	HONEYWELL INTERNATIONAL	JACOBS ENGINEERING	LARSEN & TOUBRO	LOCKHEED MARTIN	NORTHROP GRUMMAN	FEDERAL GROUP	ROLLS-ROYCE	SAFRAN	SERCO GROUP	THALES
 AUSTRALIA																				
ANZ			L	L		Bi L	L			Bi L				Bi L				L		L
Commonwealth Bank of Australia				L			L										L			
Macquarie Group								B							S					
Platinum Investment Management												S								
Westpac						L	L				L									
 AUSTRIA																				
Erste Bank							L													
 BAHRAIN																				
Arab Banking Corporation						L														
Gulf International Bank					L												S			
 BELGIUM																				
Ackermans & van Haaren																S				
Dexia								L												
KBC Group																S				
 CANADA																				
Bank of Montreal										Bi L										
CIBC																			B	
Gryphon International																			S	
Manulife Financial				B		B	B													
Ontario Teachers' Pension Plan				S																
Power Corporation of Canada	B														S B					

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Royal Bank of Canada	Bi L					Bi L	L				L			Bi			L			
Scotiabank		L			L					Bi L					L					
Sun Life Financial				B							S			S	S		S			
Toronto-Dominion Bank				L			L			Bi L							L			
 CHINA																				
Bank of China						L														
ICBC						L	L													
SAFE Investment Company																			S	
 DENMARK																				
Danske Bank											L									
 EUROPE																				
European Investment Bank								L									L	L		
 FRANCE																				
AXA	B	S		S		B					B				S B		S		S	
BNP Paribas	L	L		Bi L	L	Bi L	Bi L	Si Bi B L			Bi L			Bi	Bi L		Bi L	Bi B L		Bi B L
Covéa Mutal Insurance Group																		B		
Crédit Agricole		L		L		Bi L	Bi L	Bi L			L			Bi L			L	Bi L	L	Bi B L
Crédit Mutuel			L	L	L		L	L										L		L
Financière de l'Echiquier																			S	
Groupe BPCE	S					S Bi	S Bi	L Bi B L		S					S			L		Bi B L
Oddo & Cie																		S		
Société Générale				L	L	Bi L	Bi L	Si Bi L			S Bi L						L	Bi L		Bi L
 GERMANY																				
Allianz	S B			S B		S B				B	B			S B	S B			S B	S	
BayernLB			L	L		L	Bi L	L									L		L	
Commerzbank				L		Bi L	Bi L	Bi L									L	L		L
DekaBank							B													B
Deutsche Bank	S			S Bi L		Bi L	Bi L	Si Bi L	S B	S	Bi L			S	S Bi		L	L		Bi L
DZ Bank							L	L												B
Helaba							L													
KfW							L													
Landesbank Baden-Württemberg								L												
Munich Re				B																
Universal-Investment-Gesellschaft																S				

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 INDIA																				
Edelweiss Capital													Bi							
Equirus Capital													Si							
General Insurance Corp. of India													S							
ICICI Bank						Bi L							L							
JM Financial													Si Bi							
Kotak Mahindra Bank													Bi							
L&T Employees Welfare Foundation													S							
Life Insurance Corporation of India													S							
New India Assurance Company													S							
Reliance Anil Dhirubhai Ambani													S L							
State Bank of India													Bi							
UTI Asset Management													S							
Yes Bank													Bi							
 IRELAND																				
Allied Irish Banks		L																		
 ITALY																				
Banca Leonardo																		B		
Banca Monte dei Paschi di Siena								Bi L												
Banca Popolare di Milano								L												
Banca Popolare di Sondrio								L												
Banca Popolare Emilia Romagna								L												
Banca Popolare di Vicenza								L												
Banco Popolare								L												
Credito Emiliano								Si Bi												
Gruppo Carige								L												
Intesa SanPaolo					L	Bi L	L	Si Bi B L		Bi	Bi L			Bi	Bi					L
Mediobanca								Si L												
UBI Banca								L												B
Unicredit							L	Si Bi L			L									L
 JAPAN																				
Daiwa Securities Group						Bi			B											
Mitsubishi UFJ Financial	Bi L	L	L	L	L	Bi L	Bi L	L		Bi L	Bi L			Bi L	Bi		L	L		L
Mizuho Bank	L				L	Bi L	L			Bi L	L			Bi L						
Nomura								Si Bi												
Sumitomo Mitsui Banking		L		L		Bi L	L	L		Bi L	L			Bi L	L		L			L

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 JORDAN																				
Arab Bank					L	L														
 KUWAIT																				
Kuwait Investment Authority			S																	
National Bank of Kuwait					L															
 LIBYA																				
Libyan Investment Authority								S												
 NETHERLANDS																				
AEGON	B		S	B		B		B			B						S		S	
ING						Bi L	L				B							L		
Unilever Pensioenfonds Progress																S				
 NORWAY																				
DnB											L									
Government Pension Fund Global		S	S									S					S			S
 RUSSIA																				
Vnesheconombank							S													
 SAUDI ARABIA																				
Riyad Bank					L	L				L				L						L
 SINGAPORE																				
DBS						L	L				L			L			L			
United Overseas Bank	L																			
 SPAIN																				
Banco Santander						Bi L	L	Bi L		L	L						B L	L		Bi L
BBVA		L			L	Bi L	Bi L	Si Bi L		Bi L	Bi L									L
Grupo Entrecanales								S												S
 SWEDEN																				
Nordea Bank																	L			
Skandinaviska Enskilda Banken				L			L													
Svenska Handelsbanken										L										
Swedbank												S				S				

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 SWITZERLAND																				
Credit Suisse			S	Bi L		Bi L	L	Si L					Si		S Bi					
Jabre Capital Partners	B																			
Julius Baer																		B		
Pictet & Cie																	S			
Swiss Reinsurance Company										B	B									
Swisscanto																	B	B		
UBS				S L		S Bi L	B L	S Bi L	B	S	Bi	S		Bi L	S					
 TAIWAN																				
Bank of Taiwan										L										
Chang Hwa Commercial Bank	L																			
First Commercial Bank	L																			
Hua Nan Financial Holdings	L																			
 UNITED ARAB EMIRATES																				
Abu Dhabi Commercial Bank					L															
National Bank of Abu Dhabi						L				L										
 UNITED KINGDOM																				
Aberdeen Asset Management																	S			
Altium Capital																Si				
Aviva			S	S B		B			B		B			B	B					
BAE Systems Pension Funds Investment Management			S																	
Baillie Gifford & Co.																	S		S	
Barclays			S L	S Bi L	L	Bi L	Bi L	Bi L		Bi L	Bi L		Si Bi	L		S	Bi L		L	L
Charles Stanley Group																S				
Close Brothers Group																S				
Co-operative Group																	B		S	
Collins Stewart Hawkpoint																S				
Egerton Capital			S																	
F&C Asset Management			S	S																
Hermes			S																	
HSBC			L		L		L	L			Bi L		Si Bi			L	L	Si L	L	L
Ignis Asset Management			S														S		S	
Invesco	S	S	S	S					S	S	S						S		S	
Investec Asset Management																	B			
Jupiter Asset Management			S	S																
Lansdowne Partners																			S	

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Legal & General			S	S													S		S	
Lewis Trust Group																S				
Liontrust Asset Management																S				
Lloyds Banking Group			S L	S L	L	Bi L	L	B		Bi L				Bi L			S L		S L	
Longview Partners															S					
Majedie Asset Management			S	S															S	
Marathon Asset Management				S																
Mondrian Investment Partners																			S	
National Farmers Union Mutual Insurance Society			S																	
North Atlantic Value																S				
Octopus Investments																S				
Old Mutual	S		S								S	S			S					
Premier Asset Management			S																	
Prudential	B		S	S B					S							S	S B			
Royal Bank of Scotland	Bi B L		L	L	L	Bi L	Bi L	Bi L		Bi L	Bi L			Bi	Bi L	S	Bi L	Bi L	L	Bi L
Royal London Mutual Insurance Society			S																	
Schroder Investment Management			S										B				S			
Standard Chartered						Bi L					L		Bi							
Standard Life			S	S						S							S			
SVG Capital																S				
TT International			S														S			
Universities Superannuation Scheme			S													S				
 UNITED STATES																				
Abrams Bison Investments										S										
Adage Capital Management										S										
Affiliated Managers Group	S		S																	
AIG				B		B		B			B			B	B					
Algert Coldiron Investors									S											
Allstate Corporation	B			B		B				B	B			B	B					
American Century Investments	S									S										
American Express Company	B	S		S					S	S	S	S		S	S B		S			
American National Insurance Company										B					B					
Anchor Capital Holdings		S																		
Angelo, Gordon & Co.									B											
AQR Capital Management									S B									B		
Argyll Research												S								

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Aronson Johnson Ortiz	S														S					
Arrowstreet Capital				S					S											
Artisan Partners			S									S								
Bank of America	S Bi L	L	Bi L	L	L	Bi L	L	Bi L		S Bi L	S Bi L	S		S Bi L	S		Bi L		Bi L	
BB&T Corporation	L	L																		
Beach Point Capital Management									S B											
Bessemer Group									B		S									
BlackRock	S	S	S	S		S B	S	S	S	S B	S B	S	S	S B	S		S B	S	S	
Blaylock & Co						Bi														
BNY Mellon	S L	S		S	L	S Bi L			S	S Bi L	S L	S		S	S L				S	S
Brown Advisory Holdings									S			S								
Calamos Asset Management		S																		
CalPERS									S											
Cantillon Capital Management			S																S	
Capital Group				S		S	S	S		S	S B	S		S	S B				S	
Castle Creek Arbitrage	B																			
Causeway Capital Management																	S			
Chieftain Capital Management														S						
Citadel Investment Group	B								B											
Citi				Bi L	L	Bi L	L	Bi L	B		Bi L		Si Bi	Bi L	Bi L		L	L		L
CNH Partners									B											
CNO Financial Group														B	B					
Comerica		L				Bi														
Cowen & Company										Bi										
D.F. Dent & Company												S								
Davis Selected Advisers														S						
Dimensional Fund Advisors			S						S						S					
Dreman Value Management	S																			
Eaton Vance						S				S		S		S						
Eidelman Virant Capital									S											
Evercore Partners						S					S									
Farmers Insurance Group															B					
Fidelity	S B		S	B		S			B		S	S		S		S	S	S B	S	
Fiduciary Management	S																			
Fifth Third Bancorp		L																		
First Eagle Investment Management	S													S	S					
First Trust Advisors	B			S																
Fisher Investments						S					S									
Franklin Templeton			S	S					S	S						S	S			

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Gabelli Group									B S		S						S			
GenCorp Retirement Savings Plan									S											
General Electric	S L										S									
Genworth Financial				B		B					B			B	B					
Geode Capital Management						S				S	S	S		S	S					
Glazer Capital																		B		
GlenRock									S											
Glenview Capital Management		S																		
Goldman Sachs				Bi L	L	S Bi L	L	Si Bi L		Bi	S Bi L			Bi L	S Bi L		L		S	
Guardian Life Insurance Company	B																			
Hancock Holding		L																		
Hartford Financial Services Group				B		B		B		B				B	B					
Herndon Capital Management		S																		
Hotchkis and Wiley Capital Management	S													S	S					
Imperial Capital Group									Bi											
International Value Advisers																				S
Iridian Asset Management										S										
Jackson National Life Insurance				B		B								B	B					
Jacobs Levy Equity Management	S																			
Janus Capital		S										S								
Jefferies Group													B							
JP Morgan Chase	S B L	L	S Bi L	Bi L		Bi L	Bi L	Bi L	S	Bi L	S Bi L			S Bi L	S Bi L		Bi L			
Keeley Asset Management		S																		
Kennedy Capital Management									S B											
KeyCorp	L					S Bi L														
Knights of Columbus											B									
Lawson Kroecker Investment Management									S											
Lazard									Bi B	Bi	S									
Legg Mason		S							S		S	S			S					
Lehman Brothers (now bankrupt)								L												
Liberty Mutual Holding Company				B										B						
Lincoln National Corporation				B						B	B			B	B					
Loews Corporation				B											B					
Longview Asset Management										S									S	
Loop Capital Markets						Bi														
Lord, Abbett & Co.	B											S								
Los Angeles Capital Management and Equity Research	S																			
LSV Asset Management														S	S					

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M.R. Beal & Co						Bi														
Madison Investment Advisors												S								
Managed Account Advisors	S	S												S	S					
Manning & Napier Advisors						S														
Marsico Capital Management										S										
Mason Capital Management		S																		
MassMutual	B			B										B	B	S				
Matthews International Capital													B							
Medical Liability Mutual Insurance															B					
MetLife	B			B		B		B			B			B	B					
MFP Investors									S											
Michigan Department of Treasury												S		S						
Moody Aldrich Partners		S																		
Morgan Stanley	S			Bi L		S Bi L	Bi L	Si Bi L	Bi		S L			Bi L	Bi			Si		
Nationwide											B									
Neuberger Berman	S					S														
New Jersey Division of Investment												S								
New York Life	B			B		B			B	B	S B			B	B					
Northeast Investors	B																			
Northern Cross																	S			
Northern Trust	S B	S		S	L	S L			S	S L	S L	S		S L	S L					
Northwestern Mutual				B		B		B		B	B			B	B					
Nuveen Investments	S							S	B					S				B		S
Oaktree	B								B											
OppenheimerFunds	B	S					S													
Osterweis Capital Management									B									B		
Pacific Heights Asset Management										B										
Pacific Mutual Holding Company				B											B					
Palisade Capital Management									B											
Penn Mutual Life Insurance											B									
Pennant Capital Management		S																		
People's United Financial	L																			
PNC Bancorp	L	L				Bi L				Bi L										
Primecap Management Company		S				S	S				S									
Principal Financial Group	S			B		B			B		B			B						
Progressive Corporation															B					
Prospector Partners	B																			
Protective Life Corporation															B					
Prudential Financial	B		Bi	B		S B				B	B			B	S B					

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Pzena Investment Management				S											S					
QS Investors															S					
Radcliffe Capital Management									B									B		
Regions Financial Corporation		L																		
Relational Investors		S																		
Renaissance Technologies	S																			
Ruane, Cunniff & Goldfarb																	S			
Rydex		S																		
Samuel A Ramirez & Co						Bi														
Shapiro Capital Management		S																		
Siebert Financial Corporation						Bi														
Silchester International Investors				S																
State Farm										B	B			B	B					
State Street	S	S	S	S		S L			S	S	S	S		S L	S		S		S	
State Teachers Retirement System of Ohio												S								
Steel Partners									S B											
SunTrust	Bi L									Bi L					Bi L					
Symetra Financial				B		B					B			B	B					
T. Rowe Price Group		S				S					S			S			S		S	
Teton Advisors									S											
Thrivent Financial for Lutherans														B	B					
TIAA-CREF	S B	S		B		S B		B	S	B	S B	S		S B	S B					
Toussaint Capital Partners						Bi														
Travelers Companies	B																			
United Services Automobile Association															B					
Unum Group	B			B		B								B	B					
US Bancorp	Bi L	L			L	Bi L				Bi L	L			Bi L						
Utendahl Group						Bi														
Van Eck Associates Corporation												S								
Vanguard Group	S	S	S			S B			S	S B	S B	S	S	S B	S B					
Waddell & Reed Financial						S														
Weiss Asset Management									S											
Wellington Management						S				S	S	S		S	S			S		
Wells Fargo	Bi B L	L		L	L	S Bi L			S	Bi L	L	S		Bi L	S Bi L					
Western & Southern Financial	S																			
William Blair & Company			S									S					S			
Williams Capital Group						Bi				Bi										
Wolverine									B											

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	THALES	SERCO GROUP	SAFRAN	ROLLS-ROYCE	REDHALL GROUP	NORTHROP GRUMMAN	LOCKHEED MARTIN	LARSEN & TOUBRO	JACOBS ENGINEERING	HONEYWELL INTERNATIONAL	GENERAL DYNAMICS	GENCORP	FINMECCANICA	EADS	BOEING	BECHTEL	BAE SYSTEMS	BABCOCK INTERNATIONAL	BABCOCK & WILCOX	ALLIANT TECHSYSTEMS
Woodmen of the World Life Insurance Society										B	B									
Zazove Associates																			B	
VENEZUELA																				
Casa de Bolsa del Caribe													L							

S = **SHARES** (≥0.5%) B = **BONDS** (≥0.5%) L = **BANK LOAN** (SINCE JULY 2008) Si = **SHARE ISSUE** (SINCE JULY 2008) Bi = **BOND ISSUE** (SINCE JULY 2008)

BOX 2

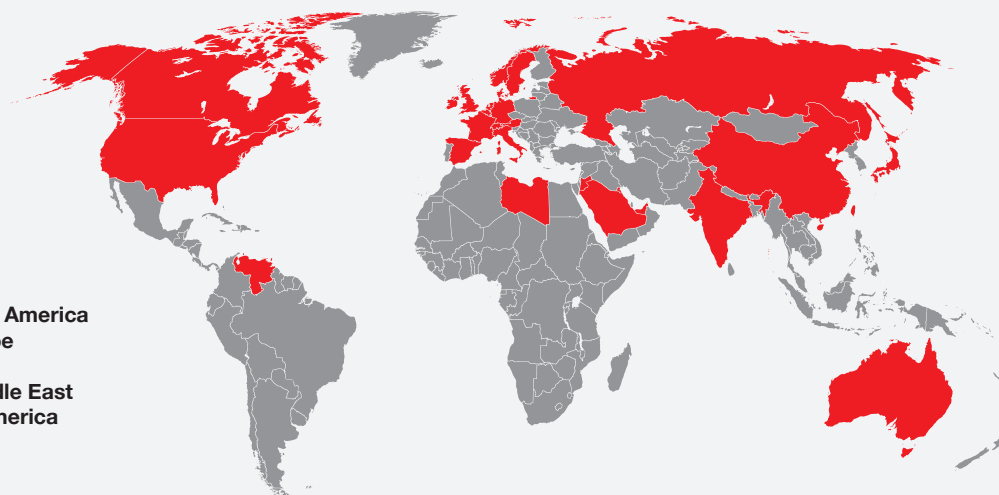
At a Glance: Where Are the Financial Institutions Based?

322

Financial Institutions in

30 Countries

- 179 in North America
- 100 in Europe
- 32 in Asia
- 9 in the Middle East
- 1 in Latin America



COUNTRY	NO.	COUNTRY	NO.	COUNTRY	NO.
United States	169	Sweden	4	United Arab Emirates	2
United Kingdom	41	Taiwan	4	Austria	1
India	13	Belgium	3	Denmark	1
Italy	13	China	3	Europe*	1
Germany	11	Netherlands	3	Ireland	1
Canada	10	Spain	3	Jordan	1
France	9	Bahrain	2	Libya	1
Switzerland	7	Kuwait	2	Russia	1
Australia	5	Norway	2	Saudi Arabia	1
Japan	5	Singapore	2	Venezuela	1

* Refers to European Investment Bank.